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RESEARCH ARTICLE

Using the Inverse Method to Investigate Flow Models for Mixed Convection of Annular Finned Tube Heat Exchanger

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Abstract

The inverse heat conduction method (IHCM) and three-dimensional (3D) computational fluid dynamic (CFD) in combination with T_k^m are used to select the appropriate flow model and near-wall treatment for the mixed convection of the annular finned tube heat exchanger. Thus, the numerical results obtained by all k - ϵ models and near-wall treatments are yielded for $4 \text{ m/s} \leq V_a \leq 5 \text{ m/s}$. First, IHCM combined with T_k^m is applied to estimate \bar{h} and Q values. The obtained estimates of \bar{h} and Q are used as reference values for CFD. The results show that the T_k and \bar{h} results obtained by the standard (STD) k - ϵ model with the standard wall function (SWF) are closer to T_k^m and the estimates of \bar{h} than those by the realizable (REAL) and RNG k - ϵ models with various wall functions for V_a of 4–5 m/s. The \bar{h} value obtained from the STD k - ϵ model with SWF, $V_a = 5 \text{ m/s}$ and $S = 15 \text{ mm}$ may be 1.14 times that of the RNG k - ϵ model and 0.48 times that of the REAL k - ϵ model. The \bar{h} value of the RNG k - ϵ model with SWF is about 1.6–1.7 times that with EWT for $S = 5 \text{ mm}$ and $V_a = 4 \text{ m/s}$ and 5 m/s . These differences mean that the appropriate flow model needs to be varied with V_a to obtain more accurate numerical results. The y^+ of RNG and STD k - ϵ models does not exceed 3. However, the y^+ of the REAL k - ϵ model exceeds 6. To our best knowledge, no studies have explored this issue and the present investigation tries to bridge this gap.

Keywords: CFD and IHCM, Mixed convection, Flow model, Heat exchanger

1. Introduction

The plate-finned tube heat exchangers are often used in industry. As shown in Refs. [1–3,5,6,8,10–16,18–23], complex 3D fluid flow and heat transfer characteristics can be found in these heat exchangers. The flow phenomenon is strongly influenced by tubes and fins. The flow is accelerated around the horizontal tube in cross flow. The boundary layer begins to develop in front of the tube and gradually grows along the flow direction. The low-speed region appears in the wake region

behind the tube. Therefore, the heat transfer coefficient on the fins is non-uniformly distributed. The CFD numerical results obtained should be compared with T_k^m and $h(r, \theta)$ obtained by a more accurate correlation or IHCM to verify their accuracy in thermal engineering applications.

Mon and Gross [11] used the RNG k - ϵ model of FLUENT to study the effect of S on the annular finned tube bundle for Re of 8.6×10^3 – 4.3×10^4 . According to Mon and Gross [11] fluid flow within and outside the fins is considered to be laminar and turbulent, respectively. Yan et al. [22] applied the

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multiple-relaxation-time based on lattice Boltzmann method to investigate the characteristic flow regions for three staggered stationary circular cylinders. Based on their numerical results, two characteristic steady flow regions are found, and the features of structure interaction and vortex shedding behind cylinders are specifically investigated. The one-dimensional (1D) fin heat conduction equation (FHCE) is assumed in Refs. [11,22]. Xie et al. [21] applied the SIMPLE algorithm to study the 3D air-side laminar heat transfer characteristics of plate-finned tube heat exchangers with copper fins, larger copper tube diameters and Reynolds numbers of 1000–6000. However, the FHCE is not considered. Gherasim et al. [8] compared the numerical predictions of hydrodynamic and thermal fields obtained from two-equation turbulence models and laminar flow in two-channel plate heat exchangers with experimental data for Reynolds numbers (Re) of 400–3000. The Nusselt number and friction coefficient, etc., are compared. Their comparisons show that the numerical results of the REAL k - ϵ model and non-equilibrium wall function (NEWF) are closer to the experimental data than the results from other flow models and various wall functions. The Nusselt number of the REAL k - ϵ model with EWT is greater than that with SWF for Re of 400–1400. The Nusselt number of the REAL k - ϵ model and NEWF is consistent with the experimental data for Re of 400–800 and deviates from the experimental values for Re of 1000–1400. Salimipour [19] studied the 2D laminar mixed convection occurring from a horizontal cylinder using a cell-vertex finite-volume scheme and IRK-SIMPLER for $Re = 200$ and Prandtl number (Pr) of 0.71. Nematic and Moghimi [13] applied nine different turbulence models to simulate a turbulent flow through a four-row finned tube heat exchanger at $V_a = 2$ m/s. Laminar flow is considered to pass through the fins. The basic grid included 95,760 cells. This amount of cells guarantees that y^+ value nowhere exceeds 7. It then adapted for each method to reduce y^+ value to below three. It is observed from Table 2 in Ref. [22] that the Nusselt numbers of the STD, RNG and REAL k - ϵ models are consistent with the experimental results. However, the Nusselt number of the STD k - ϵ model is slightly smaller than that of the REAL and RNG k - ϵ models. Nematic et al. [16] used a CFD commercial software and ANSYS CFX to study the 3D laminar natural convection heat transfer of horizontal annular finned tubes in the range of $1.3 < Ra < 2.7 \times 10^4$. According to the numerical flow visualization, a new modification of the Rayleigh number is proposed. Nematic et al. [15] also applied the numerical method in Ref. [16] to

Nomenclature

d_o	outer diameter of the circular tube, mm
D	outer diameter of the annular fins, mm
f	friction factor defined in Eq. (29)
$[F]$	forced matrix
\bar{h}	average heat transfer coefficient on fins ($W/m^2 K$)
\bar{h}_b	heat transfer coefficient at T_b ($W/m^2 K$)
$[K]$	global conduction matrix
k_a	thermal conductivity of air ($W/m K$)
k_f	thermal conductivity of fins ($W/m K$)
$\varrho_r, \varrho_\theta$	distance between two adjacent nodes in the r and θ directions (m)
N	number of sub-fin regions
N_r, N_θ, N_z	number of grid points in r, θ and z directions
N_t	total number of grid points
Nu_d	Nusselt number, $Nu_d = \bar{h}d_o/k_a$
r, θ, z	cylindrical coordinates
Re_d	Reynolds number, $Re_d = V_a d_o/\nu$
S	fin spacing (mm)
T	fin temperature (K)
T_a	air temperature (K)
T_b	temperature at the fin base (K)
T_k	fin temperature at the k th measurement position (K)
T_k^n	CFD numerical result of T_k (K)
T_k^m	experimental data of T_k (K)
t	fin thickness (mm)
V_a	inlet speed (m/s)
y^+	dimensionless wall distance

obtain the numerical results of 3D laminar natural convection for horizontal annular elliptical finned tubes. Senapati et al. [20] used the algebraic multi-grid solver of FLUENT 15 to investigate natural convection heat transfer from vertical cylinder with annular fins by varying the Rayleigh number (Ra) in both laminar ($10^4 < Ra < 10^8$) and turbulent ($10^{10} < Ra < 10^{12}$) regimes. Nematic et al. [14] used the Fluent solver module ANSYS 19.1 combined with the transition SST (Shear stress transport) turbulence model to obtain the global heat transfer coefficient and pressure drop of the air-cooled four-row finned-tube heat exchanger in the range of $3000 < V_{max}d_o/\nu < 10,000$, where V_{max} is obtained from Eq. (16) in Ref. [14]. Both tubes and fins are made of aluminum. The y^+ value in Ref. [14] is assumed to be less than three. Experimental data was then used to verify the numerical results obtained. The validated simulation tool is then used to perform model-based optimization of the fin shape. It is found in Refs. [8,11,13–16,19–22] that a specific flow model is applied to investigate various practical problems. The flow model used in Refs. [13–16] is different from that in Ref. [1–3]. Chen et al. [1–3,5,6] applied 3D CFD commercial software [7] in combination with the inverse results of \bar{h}_b and \bar{h} , T_k^m and various flow models to study the heat transfer

characteristics of various types of plate-finned tube heat exchangers. The CFD results of \bar{h} , Q and T_k obtained agree with their corresponding inverse results and T_k^m , respectively. The assumption of grid independence may not be appropriate. In addition, the air velocity pattern of $V_a = 3$ m/s and $S = 5$ mm obtained by Chen et al. [1] agrees with the experimental pattern in Ref. [18] for $Re_d = 1785.67$ and 4657.46. The air temperature contours obtained by Chen et al. [1] are consistent with the temperature contours retrieved from the interferometric images in Ref. [12]. This implies that the results obtained by the hybrid method of CFD and IHCM combined with T_k^m may warrant further verification. The accuracy of the CFD results obtained by a specific flow model, such as a laminar flow or STD $k-\epsilon$ model, may need to be carefully verified. Thus, the main goal of this study is to choose the appropriate $k-\epsilon$ model and near-wall treatment for the mixed convection of the annular finned tube heat exchanger with V_a of 4–5 m/s. In other words, it remains to be seen whether the appropriate flow model and near-wall treatment should vary with V_a to obtain more accurate numerical results.

2. Inverse heat conduction method (IHCM)

Figure 1 shows the physical geometry of the IHCM with V_a , measurement locations and sub-fin regions. The basic assumptions and experimental apparatus and methods can be found in Ref. [1]. The 2D steady-state FHCE and boundary conditions can be expressed as follows.

$$\frac{\partial^2 T}{\partial r^2} + \frac{1}{r} \frac{\partial T}{\partial r} + \frac{1}{r^2} \frac{\partial^2 T}{\partial \theta^2} = \frac{2h(r, \theta)}{tk_f} (T - T_\infty) \tag{1}$$

$$T = T_b \quad \text{at } r = d_0/2 \tag{2}$$

$$\frac{\partial T}{\partial r} = 0 \quad \text{at } r = D/2 \tag{3}$$

$$\partial T(r, 0) / \partial \theta = \partial T(r, 2\pi) / \partial \theta \tag{4}$$

and

$$T(r, 0) = T(r, 2\pi) \tag{5}$$

where d_0 and D are the outer diameters of the tube and fin, respectively. $h(r, \theta)$ is the heat transfer coefficient on fins. T_∞ is the ambient temperature. T_b is considered as tube temperature. The values of \bar{h}_b and \bar{h} can be estimated from Eqs. (1)–(5) based on T_k^m .

The finite difference form of Eq. (1) in the k th sub-fin region is expressed as follows [1,2].

$$\begin{aligned} \frac{T_{i+1,j} - 2T_{i,j} + T_{i-1,j}}{\varrho_r^2} + \frac{T_{i+1,j} - T_{i-1,j}}{2[R_i + (i-1)\varrho_r]\varrho_r} + \frac{T_{i,j+1} - 2T_{i,j} + T_{i,j-1}}{[R_i + (i-1)\varrho_r]^2 \varrho_\theta^2} \\ = \frac{2\bar{h}_k}{tk_f} (T_{i,j} - T_\infty) \end{aligned} \tag{6}$$

where \bar{h}_k is the constant heat transfer coefficient on the k th sub-fin region for $k = 1, 2, \dots, N$ and will be estimated. N is taken as 6 [1,2]. ϱ_r and ϱ_θ are $(D-d_0)/[2(N_r-1)]$ and $2\pi/(N_\theta-1)$, respectively. The difference

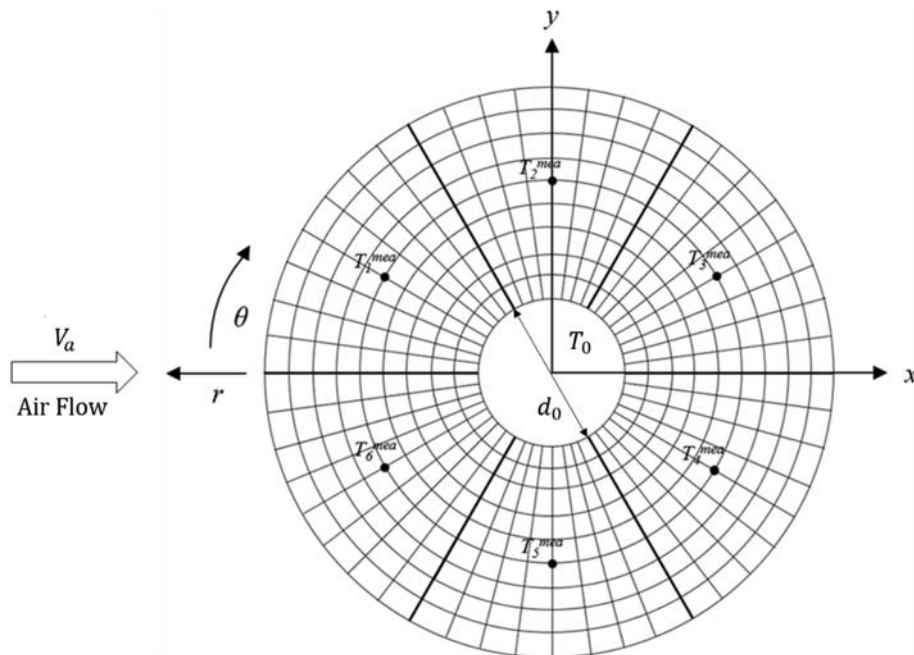


Fig. 1. Physical geometry of IHCM with sub-fin regions and measurement locations.

equations for the boundary conditions (2)–(4) can be found in Refs. [1,2].

Eq. (6) combined with the difference equations at the interface between two adjacent sub-fins and the boundary can be written as follows.

$$[K][T] = [F] \tag{7}$$

where $[K]$ is the global conduction matrix. $[T]$ is a matrix representing the node temperature. $[F]$ is the forced matrix. T_k^m is measured using six T -type thermocouples placed at 33.5 mm from the center of the tube. The uncertainty of these thermocouples is less than 0.4%. T_k^c is the T_k value determined by Eq. (7) using Gaussian elimination. \bar{h}_k is estimated by a least-squares minimization technique that minimizes the sum of squares of the deviations between T_k^c and T_k^m . The estimating \bar{h}_k value is repeated until the values of $|(T_k^m - T_k^c)/T_k^m|$ are all less than 10^{-5} . The detailed inverse process can be found in Refs. [1,4,9]. The values of \bar{h} and \bar{h}_b can be determined from the obtained \bar{h}_b value.

3. 3D CFD

The RNG and STD k - ϵ models are suitable for low and high Reynolds numbers, respectively, as shown in Ref. [7]. However, the appropriate Reynolds number ranges for these two k - ϵ models are not explicitly stated. Therefore, how to obtain their Reynolds number range awaits further investigation. It is seen in Refs. [1,2] that more accurate CFD results of this problem can be determined using the zero-equation model for natural convection and the RNG k - ϵ model with SWF for mixed convection with V_a of 1–3 m/s. The CFD results of STD k - ϵ model are not presented in Ref. [1] with V_a of 1–3 m/s. Therefore, this study applies RNG, STD and REAL k - ϵ models in conjunction with SWF and enhanced wall treatment (EWT) to investigate the relationship between V_a and the appropriate flow model, especially for V_a of 4–5 m/s. The boundary conditions of the fins in the r and θ directions are the same as Eqs. (2)–(5). The 3D steady-state FHCE and the remaining boundary conditions are written as follows.

$$\nabla^2 T = 0 \tag{8}$$

$$\frac{\partial T}{\partial z} = 0 \text{ at } z = 0 \tag{9}$$

and

$$\frac{\partial T}{\partial z} = -\frac{h(r, \theta)}{k_f}(T - T_\infty) \text{ at } z = t/2 \tag{10}$$

The flow model is the same throughout the computational domain of this study. As described in Ref. [1,7], the continuity, momentum and energy equations of the three k - ϵ models with buoyancy effects are written as follows.

$$\frac{\partial u_i}{\partial x_i} = 0 \tag{11}$$

$$u_j \frac{\partial u_i}{\partial x_j} = -\frac{1}{\rho} \frac{\partial p}{\partial x_i} + \nu \frac{\partial}{\partial x_j} \left(\frac{\partial u_j}{\partial x_i} + \frac{\partial u_i}{\partial x_j} \right) + g_j \beta \delta_{j2} (T_a - T_\infty) - \frac{\partial \overline{u'_i u'_j}}{\partial x_j} \tag{12}$$

and

$$c_p u_j \frac{\partial T_a}{\partial x_j} = \frac{k_{eff}}{\rho} \frac{\partial^2 T_a}{\partial x_j^2} - c_p \frac{\partial \overline{u'_j T'_a}}{\partial x_j} + 2\nu_{eff} \frac{\partial}{\partial x_j} (u_i S_{ij}) \tag{13}$$

where x_1 , x_2 and x_3 denote the Cartesian coordinates x , y and z . u_i , g_j , p and T_a are the velocity component, gravitational acceleration component, pressure and air temperature, respectively. ρ , ν , β , c_p , k_{eff} and ν_{eff} are the density, kinematic viscosity, volumetric thermal expansion coefficient, specific heat, effective thermal conductivity and effective kinematic viscosity of air, respectively. Turbulent heat flux and Reynolds stress tensor are written as

$$-\overline{u'_j T'_a} = \frac{c_p \nu_t}{Pr_t} \frac{\partial T_a}{\partial x_j} \tag{14}$$

and

$$-\overline{u'_i u'_j} = 2\nu_t S_{ij} - 2k\delta_{ij} / 3 \tag{15}$$

where ν_t and S_{ij} are defined as $\nu_t = k^2 C_\mu / \epsilon$ and $S_{ij} = (\partial u_i / \partial x_j + \partial u_j / \partial x_i) / 2$. Pr_t is 0.85.

3.1. k and ϵ equations

The k and ϵ equations are written as follows.

3.1.1. RNG k - ϵ model

$$u_i \frac{\partial k}{\partial x_i} = \frac{\partial}{\partial x_i} \left(\nu_{eff} \alpha_k \frac{\partial k}{\partial x_i} \right) - \epsilon + \frac{G_k + G_b}{\rho} \tag{16}$$

and

$$u_i \frac{\partial \epsilon}{\partial x_i} = \frac{\partial}{\partial x_i} \left(\nu_{eff} \alpha_\epsilon \frac{\partial \epsilon}{\partial x_i} \right) + C_{1\epsilon} \frac{\epsilon}{\rho k} (C_{3\epsilon} G_b + G_k) - C_{2\epsilon} \frac{\epsilon^2}{k} - \frac{C_\mu \eta^3 (1 - \eta/\eta_0) \epsilon^2}{1 + \beta \eta^3} \frac{\epsilon^2}{k} \tag{17}$$

3.1.2. STD $k-\epsilon$ model

$$u_j \frac{\partial k}{\partial x_j} = \frac{\partial}{\partial x_j} \left[\left(\nu + \frac{\nu_t}{\sigma_k} \right) \frac{\partial k}{\partial x_j} \right] + \frac{G_k + G_b}{\rho} - \epsilon \tag{18}$$

and

$$u_j \frac{\partial \epsilon}{\partial x_j} = \frac{\partial}{\partial x_j} \left[\left(\nu + \frac{\nu_t}{\sigma_\epsilon} \right) \frac{\partial \epsilon}{\partial x_j} \right] + C_{1\epsilon} \frac{\epsilon}{\rho k} (G_k + C_{3\epsilon} G_b) - C_{2\epsilon} \frac{\epsilon^2}{k} \tag{19}$$

3.1.3. REAL $k-\epsilon$ model

$$u_j \frac{\partial k}{\partial x_j} = \frac{\partial}{\partial x_j} \left[\left(\nu + \frac{\nu_t}{\sigma_k} \right) \frac{\partial k}{\partial x_j} \right] + \frac{G_k + G_b}{\rho} - \epsilon \tag{20}$$

and

$$u_j \frac{\partial \epsilon}{\partial x_j} = \frac{\partial}{\partial x_j} \left[\left(\nu + \frac{\nu_t}{\sigma_\epsilon} \right) \frac{\partial \epsilon}{\partial x_j} \right] + C_1 S_s \epsilon - C_2 \frac{\epsilon^2}{k + \sqrt{\nu \epsilon}} + C_{1\epsilon} \frac{\epsilon}{\rho k} C_{3\epsilon} G_b \tag{21}$$

where the definitions of G_b , G_k and η and the α_{kr} , α_ϵ , $C_{1\epsilon}$, $C_{2\epsilon}$, C_μ , β and η_0 values of the RNG $k-\epsilon$ model can be found from Refs. [1,2]. The α_{kr} , α_ϵ , $C_{1\epsilon}$, $C_{2\epsilon}$ and C_μ values of the STD $k-\epsilon$ model are 1.0, 1.3, 1.44, 1.92 and 0.09, respectively. The σ_{kr} , σ_ϵ , $C_{1\epsilon}$, C_1 and C_2 values of the REAL $k-\epsilon$ model are 1.0, 1.2, 1.44, $\max [0.43, \eta/(\eta+5)]$ and 1.9, respectively. $C_{3\epsilon}$ for all three $k-\epsilon$ models is set to zero.

3.2. Boundary conditions

Only half of the wind tunnel in the flow direction is selected. The physical geometry and computational domain of 3D CFD are presented in Fig. 2, where the computational domain is shown in dashed lines. u_1 and T_a at the inlet of the wind tunnel are given as V_a and T_∞ , respectively. At the outlet of the wind tunnel, the gradient of air velocity and temperature are given as zero. The pressure is one atmosphere. The boundary conditions are assumed to be insulated at the edge of the fin and

the side walls of the wind tunnel. No-slip boundary conditions are assumed at the surface of the solid. The gradients of all dependent variables on the side walls of the wind tunnel are assumed to be zero. $\partial u_i / \partial z$, $\partial T_a / \partial z$ and $\partial p / \partial z$ are assumed to be zero at $z = 0$ or the symmetry line of the wind tunnel. The matching conditions of heat flux and temperature at the air-fin interface are expressed as

$$T_a = T \quad \text{and} \quad k_a \frac{\partial T_a}{\partial z} = k_f \frac{\partial T}{\partial z} \tag{22}$$

It is found in Refs. [1,3,4] that the total heat transfer rate dissipated from the fin Q is determined by the difference in the enthalpy flow rate at the inlet and outlet positions of the investigated domain. It is worth noting that the values of Q , \bar{h} and \bar{h}_b in this study are unknown and should be estimated. The dissipation heat transfer rate in the k th sub-fin region Q_k , \bar{h} and \bar{h}_b can be approximated as [1–4,6].

$$Q_k = 2\bar{h}_k \int_{A_k} (T - T_\infty) dA \approx 2A_k \bar{h}_k (T_k^m - T_\infty) \tag{23}$$

and

$$Q = \sum_{k=1}^N Q_k = 2\bar{h}_b (T_b - T_\infty) A_f = 2\bar{h} (T_{ave} - T_\infty) A_f \tag{24}$$

where T_{ave} represents the average of the temperature at all fin grid points. A_f is $A_f = \pi(D^2 - d_0^2)/4$. The inverse result of Q obtained by Eqs. (23) and (24) is an approximation. The values of \bar{h} and \bar{h}_b can be obtained from Eq. (24) [1–4,6].

The \bar{h} and Q expressions for CFD can be written as

$$\bar{h} = \frac{\int_{A_f} (T_a - T_\infty) h(r, \theta) dA}{\int_{A_f} (T_a - T_\infty) dA} \tag{25}$$

and

$$Q = 2 \int_{A_f} h(r, \theta) (T_a - T_\infty) dA \tag{26}$$

Eq. (25) for uniform fin temperature can be simplified as

$$\bar{h} = \frac{\int_{A_f} h(r, \theta) dA}{A_f} \tag{27}$$

The \bar{h} value of FLUENT is determined by Eq. (27).

The friction factor f and the fin efficiency η_f are written as

$$\eta_f = \frac{Q}{2A_f(T_b - T_\infty)\bar{h}} = \frac{\bar{h}_b}{\bar{h}} \quad (28)$$

and

$$f = \frac{\Delta p}{\rho V_a^2} \frac{D}{L_c} \quad (29)$$

where η_f can be obtained from Eqs. (24), (27) and (28). Δp and L_c denote the pressure drop and the length of the computational domain, respectively. Δp is defined as $\Delta p = P_{in} - P_{out}$. P_{in} represents the inlet pressure. The pressure P_{out} is one atmosphere.

The Richardson number Ri and the Rayleigh number Ra are defined as $Ri = PrRa/Re_d^2$ and $Ra = \frac{g\beta(T_b - T_\infty)S^3}{\nu\alpha} \left(\frac{S}{D}\right)$. Pr is 0.7. The Ri value for $S = 15$ mm is 2.24×10^{-5} at $V_a = 4$ m/s and 1.37×10^{-5} at $V_a = 5$ m/s. Therefore, the effect of natural convection may be negligible.

Chen et al. [1] presented a correlation between Re_d and Nu_d with V_a of 1–3 m/s for this study. Based on the correlation of Chen et al. [1], this study proposed a new correlation between Re_d and Nu_d with V_a of 4–5 m/s as follows.

$$Nu_d = Nu_n + Nu_f = \frac{\bar{h}d_0}{k_a} \quad (30)$$

and

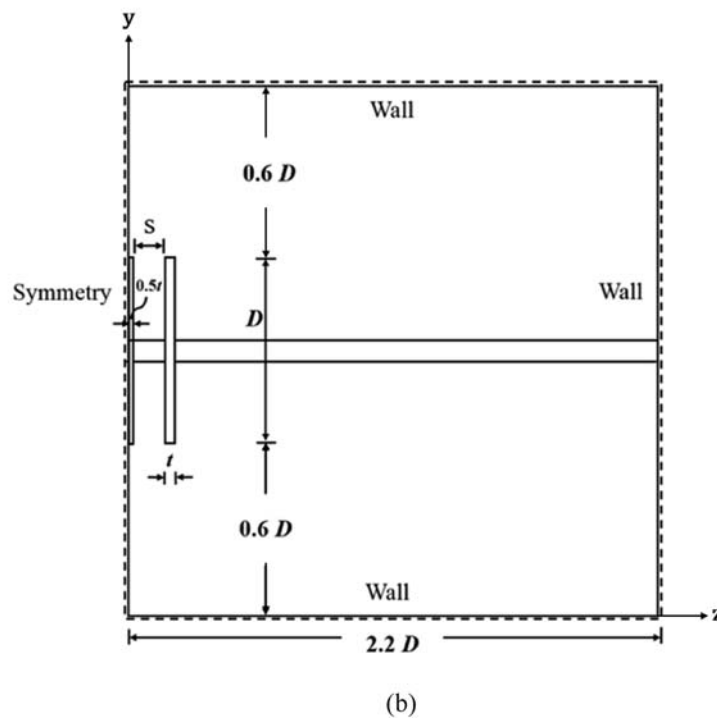
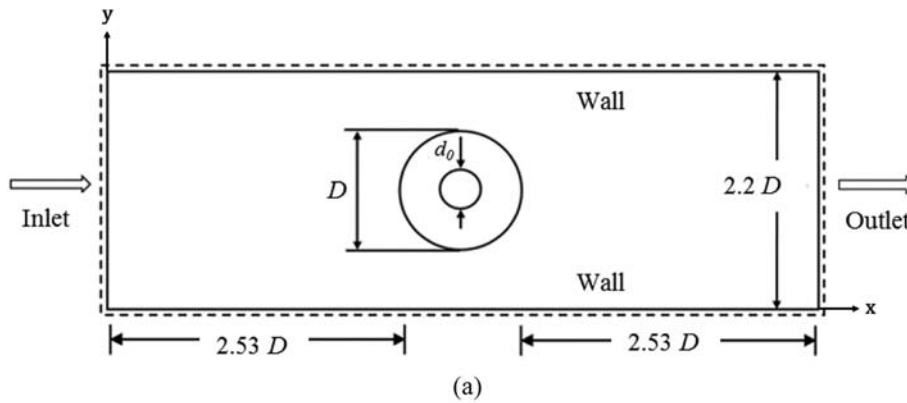


Fig. 2. Physical geometry and computational domain of 3D CFD with dashed lines. (a) x-y plane, (b) y-z plane.

$$Re_d = \frac{V_a d_0}{\nu} \quad (31)$$

It is seen in Eqs. (30) and (31) that Nu_d contains natural convection and forced convection terms. Nu_n and Nu_f are regarded as Nusselt numbers of natural convection and forced convection, respectively. They are defined as

$$Nu_n = (-1.85 + 1.874Ra^{0.255}) \left(1 - 1.65 \frac{d_0}{D}\right) \frac{d_0}{S} \quad (32)$$

and

$$Nu_f = 0.4942 \left[\left(\frac{t}{10S} + 1.08 \right) \left(1 - \frac{K^*}{(u')^b (Re_d)^{0.07}} \right) Re_d \right]^{0.55} \quad (33)$$

where the definition of u' and the values of b and K^* can be found in Refs. [11,20].

4. Typical grid distribution

N_r and N_θ of IHCM are $N_r = 10$ and $N_\theta = 48$. The CFD result is obtained by applying grid points with

non-uniform distribution. Grid density is controlled to ensure more accurate results. A finer grid is used near the fins and tube. A coarser grid is applied in certain regions with small velocity and temperature gradients. The grid system can be found in Fig. 6 of Ref. [1]. Various grid points were tested until the obtained T_k^n and \bar{h} values is consistent with T_k^m , the inverse results and correlation (30), respectively. The values of N_r and N_θ for the air field between two adjacent fins are the same as those on the fins.

5. Results and discussion

To compare the results obtained with those in Ref. [1], D , d_o and t are taken as 99 mm, 27 mm and 1 mm, respectively. All thermo-physical properties are obtained at $T_a = (T_b + T_\infty)/2$. T_k^m can be obtained from Ref. [9]. The 2D FHCE combined with the assumption of constant \bar{h}_k value ($k = 1, 2, \dots, 6$) are applied to estimate \bar{h} and Q values. Therefore, it can be expected that there is a deviation between the 3D CFD result and the inverse result. Chen et al. [1] did not apply the STD $k-\epsilon$ model to determine numerical results with V_a of 1–3 m/s. Thus, Table 1 presents the numerical results of the STD $k-\epsilon$ model

Table 1. Comparison of CFD results for STD $k-\epsilon$ model with $S = 5$ mm T0.

	$N_t = 89,349, V_a = 1$ m/s		$N_t = 87,085, V_a = 3$ m/s,	
	EWT	SWF	EWT	SWF
T_1 (K)	303.54	304.97	302.43	302.18
T_2 (K)	307.41	307.86	305.22	304.13
T_3 (K)	312.83	314.65	310.33	311.12
T_4 (K)	311.91	314.44	309.45	311.01
T_5 (K)	305.15	307.76	303.87	304.10
T_6 (K)	302.76	304.96	302.15	302.18
\bar{h} (W/m ² K)	31.52	22.83	43.20	42.98
\bar{h}_b (W/m ² K)	7.29	6.04	8.49	8.26
Q (W)	3.38	2.80	4.05	3.94
y^+	2.97	1.84	4.39	3.03

Table 2. Comparison of results for $V_a = 4$ m/s, $S = 5$ mm, $T_\infty = 298.70$ K, $T_b = 330.80$ K and $N_t = 465,292$ T0.

	Exp. data	STD $k-\epsilon$ SWF	RNG $k-\epsilon$		REAL $k-\epsilon$	
			EWT	SWF	EWT	SWF
T_1 (K)	301.37	302.51	304.85	303.63	300.39	299.16
T_2 (K)	301.96	304.51	306.91	305.16	301.24	299.17
T_3 (K)	308.04	310.44	311.64	310.95	304.57	299.90
T_4 (K)	310.98	310.41	311.58	310.83	304.19	300.62
T_5 (K)	301.76	304.41	306.95	305.00	301.19	299.35
T_6 (K)	300.39	302.51	304.95	303.71	300.44	299.26
\bar{h} (W/m ² K)	41.53 (Inv.)	43.20	22.30	36.10	92.57	114.82
\bar{h}_b (W/m ² K)	6.18 (Inv.)	7.62	5.87	7.07	12.16	22.10
Q (W)	2.83 (Inv.)	3.48	2.68	3.24	5.55	8.71
y^+	–	1.46	0.91	1.24	6.39	15.53

Table 3. Comparison of results for $V_a = 5$ m/s, $S = 5$ mm, $T_\infty = 298.90$ K, $T_b = 330.13$ K and $N_t = 465,292$. T0.

	Exp. data	STD $k-\epsilon$ SWF	RNG $k-\epsilon$		REAL $k-\epsilon$	
			EWT	SWF	EWT	SWF
T_1 (K)	300.98	302.15	305.50	302.91	299.82	299.28
T_2 (K)	301.37	303.79	307.75	304.87	300.17	299.31
T_3 (K)	306.43	309.66	313.74	312.36	302.92	300.10
T_4 (K)	308.75	309.55	313.18	312.48	303.28	300.22
T_5 (K)	300.98	303.84	307.27	304.95	300.28	299.25
T_6 (K)	300.86	302.24	305.42	302.94	299.87	299.25
\bar{h} (W/m ² K)	45.29 (Inv.)	49.30	23.5	40.51	123.35	165.42
\bar{h}_b (W/m ² K)	6.28 (Inv.)	8.06	6.12	7.47	15.08	27.28
Q (W)	2.79 (Inv.)	3.58	2.72	3.32	6.70	12.12
y^+	–	1.63	0.96	1.46	10.02	61.78

Table 4. Comparison of results for $V_a = 4$ m/s, $S = 15$ mm, $T_\infty = 299.82$ K, $T_b = 331.00$ K and $N_t = 465,292$ T0.

	Exp. data	STD $k-\epsilon$ SWF	RNG $k-\epsilon$		REAL $k-\epsilon$	
			EWT	SWF	EWT	SWF
T_1 (K)	301.84	302.56	306.10	302.12	301.47	300.62
T_2 (K)	302.39	303.94	307.66	303.31	302.43	300.48
T_3 (K)	305.41	308.06	311.06	307.39	305.51	301.95
T_4 (K)	306.72	307.96	311.26	307.42	305.54	301.02
T_5 (K)	302.43	303.97	307.83	303.45	302.43	300.52
T_6 (K)	301.80	302.63	306.27	302.22	301.51	300.50
\bar{h} (W/m ² K)	58.10 (Inv.)	59.70	25.30	49.60	93.33	115.76
\bar{h}_b (W/m ² K)	6.73 (Inv.)	8.70	6.80	8.75	11.86	21.10
Q (W)	2.99 (Inv.)	3.86	3.01	3.88	5.26	9.36
y^+	–	1.39	0.82	1.21	6.21	18.8

with SWF and EWT for $S = 5$ mm and $V_a = 1$ m/s and 3 m/s. Tables 2–5 show a comparison between the CFD results obtained from three different $k-\epsilon$ models in combination with SWF and EWT, inverse results and T_k^m for $V_a = 4$ m/s and 5 m/s. It is observed from Tables 2 and 4 of Ref. [1] and Tables 1–5 that the T_k^m value of the STD $k-\epsilon$ model with EWT is slightly closer to T_k^m than that with SWF. However, \bar{h} , \bar{h}_b and Q of the STD $k-\epsilon$ model with SWF are closer to their inverse results than those with EWT. Thus, it is important to select the appropriate flow model and wall function. This

result implies that although the T_k^n value obtained is close to T_k^m , the CFD results of \bar{h} and Q may deviate from the inverse results. In addition, the difference in T_k^n obtained from the STD and RNG $k-\epsilon$ models in conjunction with SWF is small for V_a of 1–3 m/s. But, \bar{h} and Q obtained from these two $k-\epsilon$ models may be slightly different. Therefore, the RNG $k-\epsilon$ model and SWF are more appropriate for this problem than the STD $k-\epsilon$ model with V_a of 1–3 m/s, as shown in Ref. [1]. It is found in Tables 2–5 that the difference in T_k^n obtained from the STD and RNG $k-\epsilon$ models in combination with SWF is small

Table 5. Comparison of results for $V_a = 5$ m/s, $S = 15$ mm, $T_\infty = 299.53$ K, $T_b = 329.30$ K and $N_t = 465,292$ T0.

	Exp. data	STD $k-\epsilon$ SWF	RNG $k-\epsilon$		REAL $k-\epsilon$	
			EWT	SWF	EWT	SWF
T_1 (K)	301.18	301.85	305.21	302.37	300.04	299.88
T_2 (K)	301.64	303.11	305.55	303.26	301.07	299.83
T_3 (K)	304.43	306.80	308.46	306.92	304.32	300.57
T_4 (K)	305.25	306.72	308.47	307.20	304.19	300.51
T_5 (K)	301.48	303.04	305.58	303.37	301.06	299.91
T_6 (K)	301.18	301.98	305.30	302.56	300.08	299.93
\bar{h} W/(m ² K)	65.97 (Inv.)	65.80	31.50	57.60	102.06	137.21
\bar{h}_b W/(m ² K)	6.64 (Inv.)	9.26	6.85	8.93	12.61	22.15
Q (W)	2.82 (Inv.)	3.92	2.90	3.78	5.34	9.38
y^+	–	1.56	1.28	1.56	7.3	33.6

Table 6. Effect of N_t on the results of STD $k-\epsilon$ model with $V_a = 5$ m/s.

	$N_{zf} \times N_{za}$						
	S = 5 mm			Single fin (S \rightarrow ∞)			
	5 \times 11	9 \times 12	7 \times 9	5 \times 32	5 \times 148	9 \times 158	Exp. data
T_1 (K)	301.58	302.15	302.58	302.20	301.75	301.54	301.44
T_2 (K)	302.83	303.79	303.85	302.95	302.38	302.30	302.39
T_3 (K)	308.50	309.66	308.82	305.57	304.90	305.12	304.13
T_4 (K)	308.32	309.55	308.78	305.57	304.90	305.07	304.92
T_5 (K)	302.79	303.84	303.84	302.95	302.38	302.29	302.13
T_6 (K)	301.56	302.24	302.58	302.20	301.76	301.53	301.61
\bar{h} (W/m ² K)	62.32	49.30	58.1	77.6	74.91	88.70	773.95
\bar{h}_b (W/m ² K)	8.82	8.06	7.56	9.33	9.27	10.99	6.76
Q (W)	3.92	3.58	3.36	4.20	4.06	4.96	3.05
N_t	452,222	465,292	458,490	146,384	311,066	428,696	

for V_a of 4–5 m/s. However, the \bar{h} value of the STD $k-\epsilon$ model with SWF is closer to the inverse result than that of the RNG and REAL $k-\epsilon$ models. In accordance with the above results, the STD $k-\epsilon$ model with SWF are more suitable for this problem with V_a of 4–5 m/s compared to RNG and REAL $k-\epsilon$ models. The REAL $k-\epsilon$ model may be more suitable for problems with faster speeds compared to the zero-equation and RNG and STD $k-\epsilon$ models. These results are different from Table 2 in Ref. [13]. In addition, the \bar{h} value of the REAL $k-\epsilon$ model with SWF is greater than that with EWT for Re_d of 6150–7800. It is found from Fig. 7 in Ref. [8] that the Nusselt number of the REAL $k-\epsilon$ model and NEWF agrees with experimental data for Re of 400–800 and deviates from the experimental data for Re of 1000–1400. The Nusselt number of the REAL $k-\epsilon$ model with EWT is greater than that with SWF for Re of 400–1400. This difference may be attributed to the independence between the selection of $k-\epsilon$ model and V_a . Therefore, both the inverse result of \bar{h} and T_k^m need to be compared. The appropriate Re_d ranges for the RNG and STD $k-\epsilon$ models of this study fall between 570 and 4600 and between 6150 and 7,850, respectively.

It is seen from Tables 1–5 and Tables 2 and 4 of Ref. [1] that \bar{h} and Q of the RNG and REAL $k-\epsilon$

models with SWF are larger than those with EWT for V_a of 1–5 m/s. The \bar{h} value of the STD $k-\epsilon$ model with SWF is lower than that with EWT for V_a of 1 m/s and 3 m/s. The \bar{h} value of the STD $k-\epsilon$ model with SWF is 0.72 time and 1.01 times that of EWT, respectively, for S = 5 mm and $V_a = 1$ m/s and 3 m/s. The \bar{h} value of the RNG $k-\epsilon$ model with SWF is 1.11 times, 1.97 times, 1.62 times and 1.72 times that of EWT, respectively, for S = 5 mm and $V_a = 1$ m/s, 3 m/s, 4 m/s and 5 m/s. The \bar{h} value of the STD $k-\epsilon$ model can be 1.14 times that of the RNG $k-\epsilon$ model and 0.48 times that of the REAL $k-\epsilon$ model with SWF, $V_a = 5$ m/s and S = 15 mm. The \bar{h} value of the REAL $k-\epsilon$ model with SWF is 1.56 times and 1.34 times that of EWT, respectively, for S = 5 mm $V_a = 4$ m/s and 5 m/s. This result is different from that in Fig. 7 of Ref. [8] for Re of 400–1400. It is known that the Q value is not easy to estimate. It can be predicted from the obtained \bar{h} estimate. The Q value of the STD $k-\epsilon$ model can be 1.04 times that of the RNG $k-\epsilon$ model and 0.42 times that of the REAL $k-\epsilon$ model with SWF, $V_a = 5$ m/s and S = 15 mm. This means that if the estimation of \bar{h} is not accurate enough, it may affect the estimation of the Q value. Therefore, it is important to select the appropriate flow model and wall function. As far as we know, these findings have not yet been proposed. This study has

Table 7. Comparison of h and h_b between CFD and inverse results with V_a of 4 m/s and 5 m/s.

S (mm)	V_a (m/s)	Re_d	f	\bar{h} (W/(m ² K))				\bar{h}_b (W/(m ² K))	
				Inv.	CFD	Eq. (30)	Eq. (33)	Inv.	CFD
				5	4	6146.13	0.12	41.53	43.20
10	4	7780.89	0.09	45.29	49.30	50.90	48.00	6.28	8.06
		6179.56	0.12	54.80	55.30	55.49	46.53	6.74	8.50
15	4	7833.44	0.09	60.60	63.70	59.47	52.85	6.73	9.07
		6259.94	0.12	58.10	59.70	57.03	48.26	6.73	8.70
∞	4	7870.95	0.09	65.97	65.80	64.26	54.76	6.63	9.26
		6266.20	0.10	67.12	67.60	–	–	6.88	10.67
		7812.06	0.07	73.95	73.78	–	–	6.76	10.96

academic innovations and practical applications, such as energy-saving design of factory buildings and glass curtain buildings, high-performance heat exchangers and ventilation design of hospital emergency rooms and operating rooms.

The y^+ values of the STD, RNG and REAL $k-\epsilon$ models combined with SWF for $S = 5$ mm are, respectively, 1.24, 1.46 and 15.53 at $V_a = 4$ m/s and 1.46, 1.63 and 61.78 at $V_a = 5$ m/s. The y^+ values of the RNG, STD and REAL $k-\epsilon$ models combined with SWF for $S = 15$ mm are, respectively, 1.21, 1.39 and 18.8 at $V_a = 4$ m/s and 1.56, 1.56 and 33.6 at $V_a = 5$ m/s. Table 1 shows that y^+ can increase with V_a . The similar results can be found in Tables 2 and 4 of Ref. [1]. It is found in Ref. [13] that the value of y^+ nowhere exceeds 7 at $V_a = 2$ m/s for the basic grid with 95,760 cells. Then, the y^+ value is adjusted to be less than 3 for each method. Similar results are obtained across all $k-\epsilon$ models. However, the results of the RNG $k-\epsilon$ model are more similar to those of the STD $k-\epsilon$ model compared to the REAL $k-\epsilon$ model. In addition, the y^+ value in Ref. [14] is assumed to be less than 3. It is found in Refs. [10,23] that for all 2D RANS models, the y^+ corresponding to the first grid close to the wall is about 1 for a grid resolution of 60×60 and about 0.3 for 25×150 non-

uniform grid. The required y^+ value is in the range of 30–300 for $k-\epsilon$ models and SWF. Rincón-Casado et al. [17] pointed out that for the case of the $k-\epsilon$ model with EWT, y^+ must be close to 1. A more accurate prediction of y^+ for all RANS models and various near-wall treatments is not presented in Refs. [8,10,14,17,23]. An interesting finding is that y^+ may generally not be very sensitive to S . For V_a of 4–5 m/s, y^+ of RNG and STD $k-\epsilon$ models with SWF or EWT does not exceed 3 and the difference between them is small. These results are in good agreement with those in Refs. [13,14,23]. However, y^+ of the REAL $k-\epsilon$ model with SWF exceeds 7 and is much larger than that with EWT. This result does not support those in Refs. [10,13,14,17,23]. Therefore, the comparison and estimation of y^+ obtained by $k-\epsilon$ models and near-wall treatments are of great significance. To the best of our knowledge, scant evidence exists regarding such comparisons and estimates based on experimental data.

N_{zf} and N_{za} indicate the number of grid points on the fins and in the air field between a single fin and the side wall of a wind tunnel or between two adjacent fins, respectively. Table 6 presents the effect of grid points on the T_k^n , \bar{h} and Q values of the STD $k-\epsilon$ model with $N_r = 14$, $N_\theta = 76$ and two

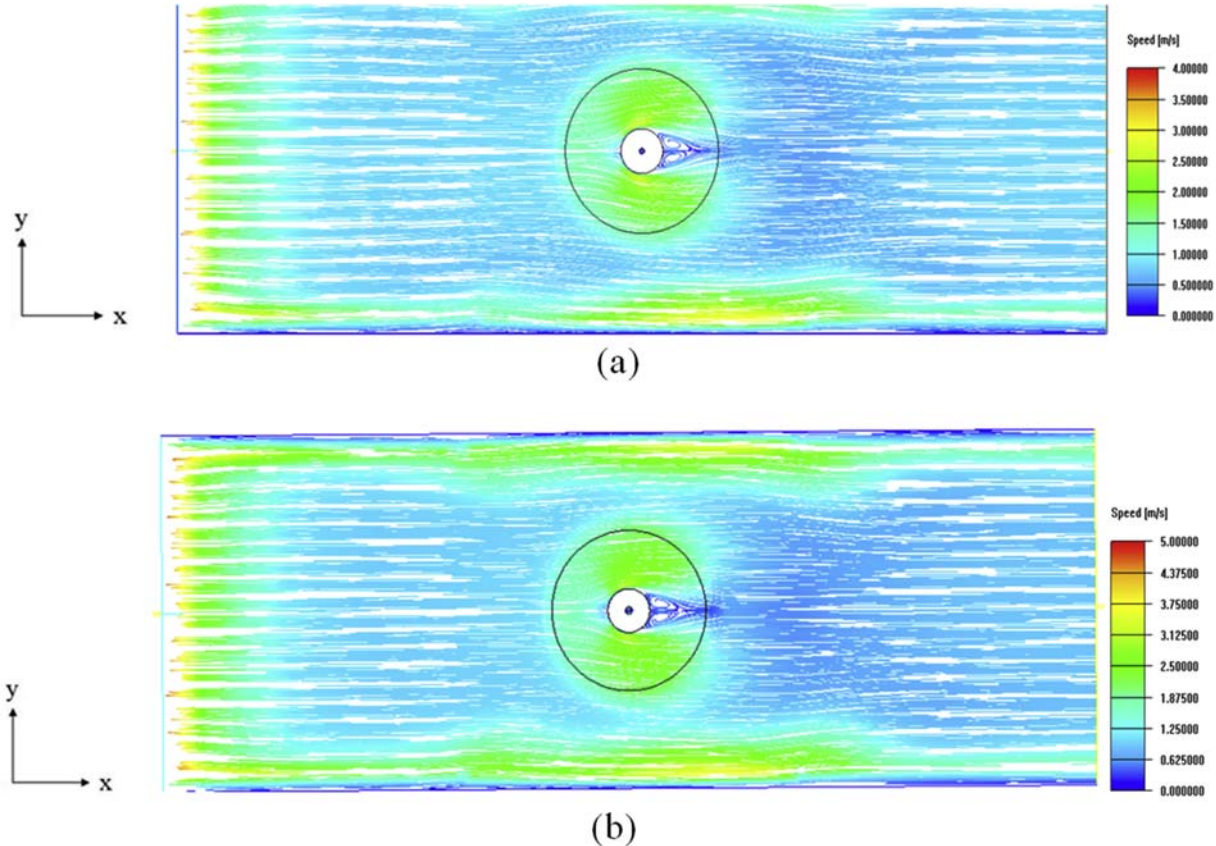


Fig. 3. Velocity pattern of $S = 5$ mm at $z = S/2$. (a) $V_a = 4$ m/s, (b) $V_a = 5$ m/s.

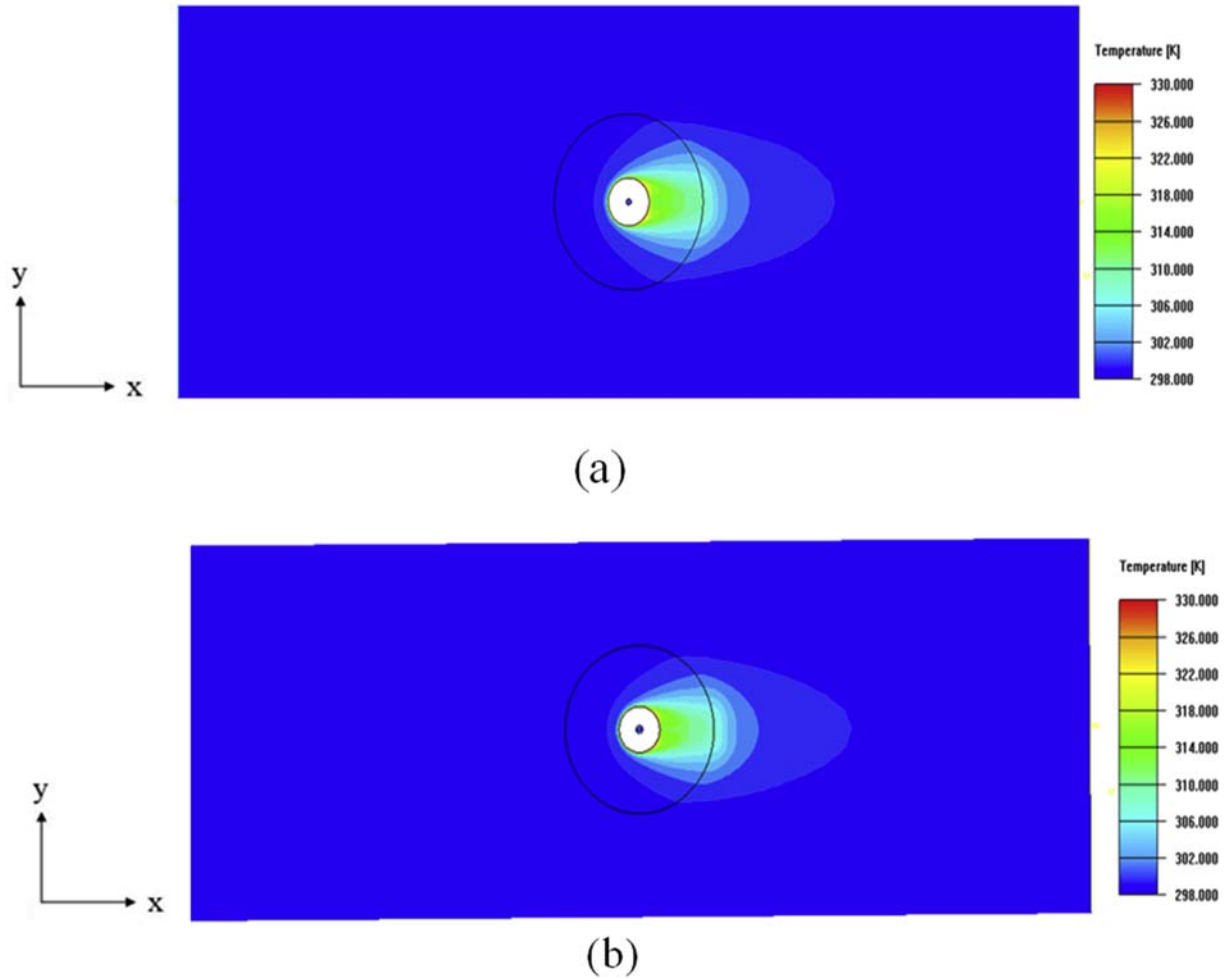


Fig. 4. Air temperature contours of $S = 5$ mm at $z = S/2$. (a) $V_a = 4$ m/s, (b) $V_a = 5$ m/s.

different S values at $V_a = 5$ m/s. It is found from Tables 3, 5 and 6 that \bar{h} and T_k^n values obtained using $N_{zf} = 9$ and $N_{za} = 12$ for $S = 5$ mm and $N_{zf} = 9$ and $N_{za} = 148$ for a single fin ($S \rightarrow \infty$) are closer to T_k^m and the estimation of \bar{h} compared to other grid points. It is observed in Ref. [1] that N_{zf} and N_{za} may need to increase with increasing V_a . In other words, the effect of N_{zf} and N_{za} on the CFD results obtained should not be ignored, as shown in Table 5. This phenomenon may be caused by the fact that the thermal boundary layer on the fin becomes thinner as V_a increases. The choice of N_{zf} and N_{za} may be based on T_k^m and the estimation of \bar{h} . The increase of N_t will increase the value of \bar{h} when $S \rightarrow \infty$, but the accuracy of T_k^n may not be improved. This result indicates that more accurate results are not warranted with increasing N_t . The N_t value at $V_a = 5$ m/s is taken as 465,292 for $S = 5$ mm and 311,006 when $S \rightarrow \infty$. Therefore, the N_t value of $S = 5$ mm is greater at $V_a = 5$ m/s than at $V_a = 1$ m/s and 3 m/s. This

means that N_t may vary with V_a for a fixed S value. Thus, the assumption of grid independence may not be appropriate for this study.

The comparison of \bar{h} and \bar{h}_b between the CFD results obtained by the STD k - ϵ model with SWF, the inverse results and the proposed correlations (30) and (33) are shown in Table 7 for V_a of 4 m/s and 5 m/s. The results indicate that the CFD result of \bar{h} is consistent with the correlation (30) and inverse results for V_a of 4 m/s and 5 m/s. Therefore, the proposed correlation (30) has better accuracy for V_a of 1–5 m/s than for correlation (33). However, the CFD result of \bar{h}_b deviates slightly from its inverse result. For smaller Ri values, the natural convection of hot air moving upwards caused by parallel fins is not obvious, and the effect of forced convection is dominant. However, the impact of natural convection may not be ignored. For $S = 15$ mm, the \bar{h} results obtained from the proposed correlation (30) are slightly different from those obtained from the correlation (33). This difference is due

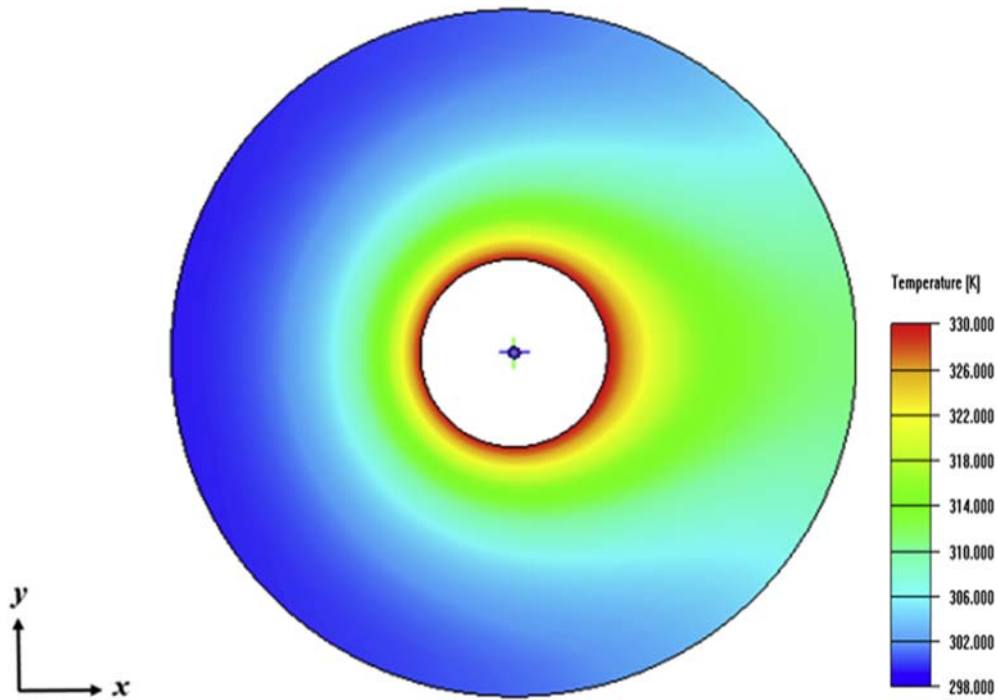


Fig. 5. Surface temperature contours of fins with $V_a = 4$ m/s.

to the absence of natural convection in correlation (33). The difference in \bar{h} obtained by correlations (30) and (33) for $S = 15$ mm is as high as 15.38% at $V_a = 4$ m/s and 14.78 at $V_a = 5$ m/s. However, their \bar{h} difference is small for $S = 5$ mm and V_a of 4–5 m/s. This means

that even for $Ri \approx 2.24 \times 10^{-5}$, the effect of natural convection at $S = 15$ mm is more significant than that of $S = 5$ mm.

The velocity patterns and air temperature contours of the RNG and STD $k-\epsilon$ models with SWF are

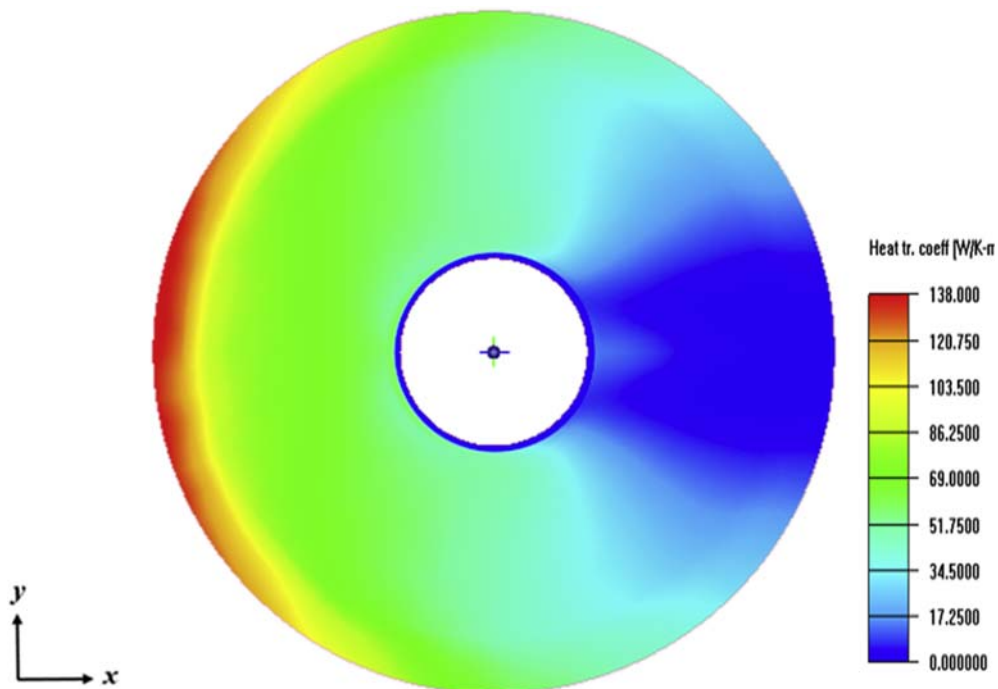


Fig. 6. Distribution of $h(r, \theta)$ with $V_a = 4$ m/s.

similar. Therefore, the numerical results of the STD k - ϵ model and SWF are presented in Figs. 3–6. The velocity pattern of $S = 5$ mm on the plane of $z = S/2$ is shown in Fig. 3 for V_a of 4 m/s and 5 m/s. It is seen that the velocity pattern of $S = 5$ mm in Fig. 3 agrees with Fig. 3b of Ref. [18] with $Re = 7500$. The weaker vortices occurring downstream of the wake region with $Re = 7500$ is dominant than $Re = 4000$. The flow field is divided into three sections. It is found from Fig. 3 that the difference between the velocity patterns with V_a of 4 m/s and 5 m/s is small. The airflow in the upstream region of the 1st section is nearly uniformly distributed at V_a of 4 m/s and 5 m/s. Air flows into the fins in the 2nd section. The airflow entering the parallel fins hits the stagnation point on the tube and accelerates around the tube. The small low-speed region in front of the stagnation point can be observed from Fig. 3. After that, the airflow begins to separate from the separation point on the surface of the tube. The two vortices in the low-velocity wake region behind the tube are symmetrical and rotate in opposite directions. The wake region is longer for V_a of 4 m/s and 5 m/s than for $V_a = 3$ m/s, as shown in Fig. 8 of Ref. [1]. This result is consistent with Fig. 2b and 3b of Ref. [18] with $Re = 4000$ and 7500. A low-velocity airflow is observed in the 3rd section behind the fins.

Figure 4 shows the air temperature contours of $S = 5$ mm on the plane of $z = S/2$ for V_a of 4 m/s and 5 m/s. It is observed that the air temperature contour between two adjacent fins shows a non-uniform distribution. As shown in the velocity pattern of Fig. 3, the difference between the air temperature contours with V_a of 4 m/s and 5 m/s is also small.

The fin surface temperature contour of $S = 5$ mm is presented in Fig. 5 with $V_a = 4$ m/s. It is found from Fig. 10 of Ref. [1] and Fig. 5 that there are significant differences between the fin surface temperature contours with V_a of 1 m/s and 4 m/s. The high fin temperature region of $V_a = 1$ m/s is much larger than that of $V_a = 4$ m/s.

Figure 6 presents the distribution of $h(r, \theta)$ with $V_a = 4$ m/s and $S = 5$ mm. It is found from Fig. 11 of Ref. [1] and Fig. 6 that there is a significant difference between $h(r, \theta)$ with $V_a = 1$ m/s and 4 m/s. The region with lower $h(r, \theta)$ value of $V_a = 1$ m/s is much larger than that of $V_a = 4$ m/s. At the leading edge of the fin, the $h(r, \theta)$ value of $V_a = 4$ m/s is greater than that of $V_a = 1$ m/s.

It is found in Table 7 that f with V_a of 4–5 m/s is less than 0.13 and increases with decreasing V_a . It has almost no variation with increasing S . This result is the same as that of Fig. 12 in Ref. [1] for V_a of 1–3 m/s. The effect of S on the value of f

decreases gradually as V_a increases. This implies that f has little change with increasing S for V_a of 4–5 m/s.

6. Conclusions

This study presents a hybrid method of CFD and IHCM in combination with T_k^m to choose an appropriate k - ϵ model that can lead to more accurate results with V_a of 4–5 m/s. The results indicate that the \bar{h} value of the STD k - ϵ model with SWF is more consistent with the estimate of \bar{h} than that of the REAL and RNG k - ϵ models, but the difference in T_k^m between them is small. This implies that a comparison only for T_k^m is not sufficient for selecting a suitable flow model and wall function. Both T_k^m and the inverse result of \bar{h} need to be compared. The \bar{h} and Q values of the STD k - ϵ model with SWF, $V_a = 5$ m/s and $S = 15$ mm can be 1.14 and 1.04 times that of the RNG k - ϵ model and 0.48 and 0.42 times that of the REAL k - ϵ model, respectively. If the estimation of \bar{h} is not accurate enough, it may affect the estimation of the Q value. Therefore, it is important to select the appropriate flow model and wall function. The appropriate flow model and wall function need to be varied with V_a in order to obtain more accurate numerical results. As far as we know, this discovery has not yet been proposed. This study has academic innovations and practical applications, such as energy-saving design of glass curtain buildings, ventilation design of hospital emergency rooms and operating rooms and high-performance heat exchangers, etc. For this study, the Re ranges of the RNG and STD k - ϵ models are approximately from 570 to 4600 and from 6150 to 7,850, respectively. The \bar{h} and y^+ values of the STD k - ϵ model are larger than those of the RNG k - ϵ model, but smaller than those of the REAL k - ϵ model. The \bar{h} and y^+ values of SWF are greater than those of EWT. The y^+ results of RNG and STD k - ϵ models are in good agreement with those in Refs. [13,14,23]. However, the y^+ results of the REAL k - ϵ model and SWF do not match those in Refs. [10,13,14,23]. The obtained \bar{h} value is closer to the proposed correlation (30) with consideration of natural convection compared to the existing correlation (33). Thus, the correlation (30) has good reliability for engineering applications.

Conflict of interest statement

We expect our paper entitled “Investigation on Flow Models for Mixed Convection of Annular Finned Tube Heat Exchanger by the Inverse Method” to be published in the *Journal of Marine*

Science and Technology. We declare that there is no actual or potential conflict of interest in this manuscript. There are no financial, personal or other relationships with other people or organizations that can inappropriately influence our work and its outcome.

I confirm that this manuscript has been approved by all named authors. No other person satisfies the criteria for authorship, but it is not listed. The order of the authors listed in the manuscript has been approved by all of us.

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