



IN SITU AND EX SITU TARGET STRENGTH MEASUREMENT OF MESOPELAGIC LANTERNFISH, DIAPHUS THETA (FAMILY MYCTOPHIDAE)

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Key words: *in situ* target strength, *Diaphus theta*, J-QUEST χ , swimbladder, spheroid model.

ABSTRACT

Acoustic target strengths (TS) of myctophid fish *Diaphus theta* were measured *in situ* at 70 kHz off the east coast of Hokkaido by tethering an acoustic-optical system (the Japanese Quantitative Echosounder and Stereo-video Camera System or J-QUEST χ) at a depth of 150 m from a research vessel. Fish length distributions were obtained from daytime hauls taken with a frame trawl after the TS measurement. Measured average TS ($\langle TS \rangle$) and a standard length (SL) in cm gave the empirical length - average TS relationship, $\langle TS \rangle = 20 \log SL - 70.6$, assuming that the linear TS is proportional to the square of the SL. A laboratory TS measurement and theoretical modeling of *D. theta* support the estimated length - average TS relationship.

I. INTRODUCTION

Myctophid fishes are one of the most abundant and widespread mesopelagic fish taxa in the world ocean, except for the Arctic Ocean. They are a key component of the oceanic ecosystems, where they generally prey on crustacean zooplankton and are consumed by marine birds, marine mammals, and fish [4, 11].

D. theta is widely distributed and is one of the most domi-

nant myctophids in the subarctic North Pacific Ocean ([2]). *D. theta* undertakes diel vertical migration [26], and is often consumed by fish and marine mammals such as walleye pollock, *Theragra chalcogramma* [27] and Dall's porpoise, *Phocoenoides dalli* [16]. Thus, *D. theta* plays an important role in transferring organic material from lower to higher trophic levels in the region. To understand the ecological function of this species, reliable biomass estimates are needed.

Acoustic methods have become important and reliable methods for fisheries resource survey [10]. It is considered as an objective method and can assess small-scale spatial and temporal heterogeneity effectively. Recently, an acoustic survey of *D. theta* was conducted to estimate its density off the eastern Hokkaido, Japan [29]. To estimate fish density from volume scattering, the average target strength must be known within the study area [6, 14]. A model based TS [30] was used for the density estimation of *D. theta* in that study [29]. For the precise estimation of TS, swimbladder condition is very important, as it reflects 90% or more of the backscattered energy [5].

It has been reported that *D. theta* smaller than 87 mm in standard length (SL) had a swimbladder and that swimbladderless ones appeared when the SL became larger than 78 mm [31]. It has been also shown that swimbladder length of *D. theta* increased with SL and showed the positive allometry [30]. The relationship between standard length (SL) and average TS ($\langle TS \rangle$) at 38 kHz has been shown as $\langle TS \rangle = 11.8 \log SL - 63.5$ by Yasuma *et al.* [31] and revised as $\langle TS \rangle = 18.5 \log SL - 71.7$ by Yasuma *et al.* [30]. Their results came from the theoretical calculations (vacant prolate-spheroid modal series model or PSM, [9]) based on the swimbladder morphological parameters (major and minor diameters) which were obtained from soft X-ray images of the swimbladder's shape. They assumed fish tilt-angle distribution as normal distribution with an average of -5° (negative sign indicates head down, positive head up) and a standard deviation of 15° in the former paper and with an average of 0° and a standard deviation of 15° in the latter paper. In the small L/λ

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region, where L is a length of fish and λ is a wavelength, tilt-angle distribution is not a major source of TS variation, but it is an essential in the large L/λ region. It is necessary to measure tilt-angle distribution *in situ* in order to confirm their model. There is another concern about the changes of swimbladder shape under pressure. In the work of Yasuma *et al.* [30], fishes were sampled at depths ranging 50-300 m in the nighttime and the live ones were acclimatized for one to three hours in a small tank. They were frozen immediately after the acclimatization. According to Watanabe *et al.* [26], juveniles and adults of *D. theta* undergo a diel vertical migration between layers 20-100 m in the nighttime and 300-500 m deep in the daytime. This corresponds pressure change of 2-4.8 MPa or 20-40 atm in a day. Swimbladder shape at shallower depths may be different from the one at deeper depths, because of the difference of pressure. Horne *et al.* [12] examined the effect of the pressure change to target strength by taking dorsal and lateral radiographs of three juvenile walleye pollock in a pressure chamber to image swimbladders from ambient to a maximum of 0.49 MPa corresponding to 5 atm. At most 4 dB decreases of TS were indicated over the 0.5 MPa experimental pressure range by their theoretical calculation based on the swimbladder shapes. In this meaning, *in situ* TS measurement for fish aggregation with different length distribution is essential to derive a reliable SL vs. TS model as the measured TS is considered to reflect the tilt-angle distribution and swimbladder conditions. *D. theta*, however, is known to distribute at mesopelagic layer in the daytime [26]. Generally, it is difficult to get precise TS when the spatial density of fish is high or when a fish school is deep from a transducer because of overlapping echoes [18].

National Research Institute of Fisheries Engineering has developed an acoustic-optical composite system (Japanese quantitative echosounder and stereo-video camera system, J-QUEST) which is designed to be deployed from a drifting research vessel to measure fish TS, fish length, and tilt-angle distributions from above or within a school [20, 21]. The maximum working depth of the former system, J-QUEST, was improved up to 500 m and the improved system was named as J-QUEST χ [22]. The above issues related to the *in situ* TS measurement of *D. theta* can be overcome by using J-QUEST χ .

The purpose of this study is to propose a reliable length (SL)- average TS ($\langle TS \rangle$) relationship of *D. theta* at 70 kHz by the trawl sampling and *in situ* TS measurements using J-QUEST χ . Through the *in situ* TS calculations, the effects of upper TS threshold levels, which were used to reduce the unwanted large echoes other than *D. theta*, were explored. Proposed relationship is confirmed by the theoretical model calculations based on the swimbladder shape of *D. theta*, assuming several tilt-angle distributions. The validity of the model calculation is confirmed by comparing model calculations with *ex situ* TS measurement in a tank. Predicted SL vs. $\langle TS \rangle$ relationships based on the model calculations at 38 kHz are also compared with the past data [30].

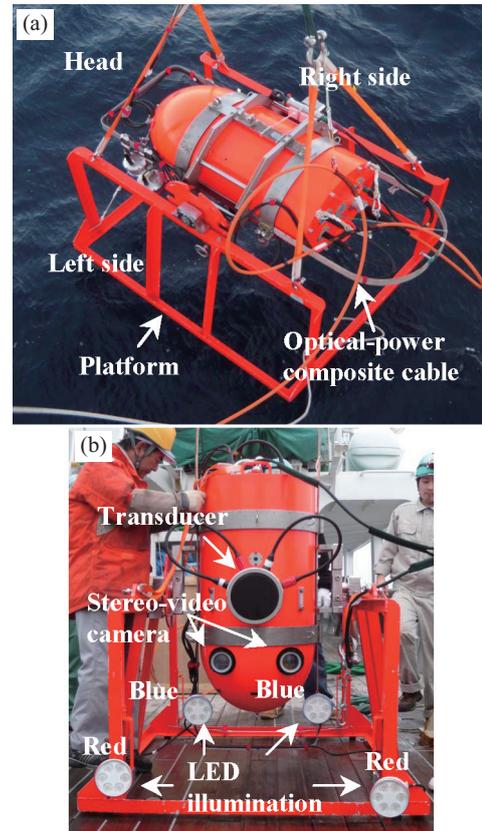


Fig. 1. Optical and acoustic system, J-QUEST χ . (a) Down looking mode and (b) side looking mode.

II. MATERIALS AND METHODS

1. Acoustic-Optical System (J-QUEST χ)

The maximum working depth of the former system, J-QUEST, was increased from 300 m up to 500 m by replacing the transducer, lenses of the stereo camera, underwater cable, and transformers of the power supply unit. The improved system was named as J-QUEST χ [22] (Fig. 1). Halmeic lights were replaced by LED illuminations (red and blue color, 12W). A nine-axes (acceleration, angular acceleration, and magnetic direction for x, y, and z axes) motion sensor (AHRS-400MA, Crossbow Ltd.) was installed in a pressure cage. A CTD (ASTD-RS, JFE Advantec Ltd.) sensor was connected to the pressure cage.

Along with the improvement of the working depth, a 70 kHz split-beam transducer was newly developed [19]. The transducer consists of 148 elements and they are grouped in separate 8 channels. Combinations of each channel form phase beams and narrow or wide beam with beam width of 8.4° and 11.8°, respectively.

Downward-looking (Fig. 1(a)) or side-looking mode (Fig. 1(b)) can be selected before the deployment by rotating the pressure cage on a newly manufactured platform. The platform with the pressure cage (underwater unit) is tethered and

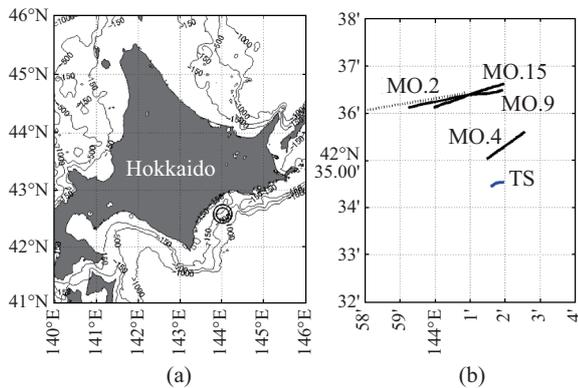


Fig. 2. (a) Survey site (double circles). (b) Expanded survey site shown in (a) as the double circle. TS measurement (abbreviated as TS in this figure) and trawling (MO.2, 4, 9, 15, see Table 1) are indicated. MO.4, 9, 15 were conducted in the daytime and MO.2 was conducted in the nighttime.

deployed from a vessel. This method makes it easy to deploy and haul up the J-QUEST χ at sea.

The stereo-video camera has a field of view of 15°. The details of the stereo-video camera was described by Takahashi *et al.* [24] and measurement accuracy was reported by Takahashi *et al.* [23].

A 530 m optical-power composite cable (diameter 15 mm) connects the underwater unit and a deck unit. It has six silica optical fibers (single mode) and five of which are used for signal transmissions. Fiber-1 is used for the controls and data transmissions of LED illuminations, the stereo-video camera system, and the motion sensor. Fiber-2 is used for the echosounder and the CTD sensor. Fiber-3 is used for external trigger signal to the LED illuminations. Fiber-4 and 5 are used for the transmissions of video signals from the stereo-video camera. All the data is monitored and recorded in real-time on deck. AC250V power is provided through aluminum lines of the composite cable.

2. Survey

The survey was conducted on board Fisheries Research Agency (abbreviated as FRA) ship Wakataka-maru (692 ton). After short scouting for dense aggregations of *D. theta* at the marginal of the shelf break off Hokkaido (Fig. 2, *ca.* 500-800 m deep), the vessel was drifted and echoes were collected for the distinct scattering layers as shown in Fig. 3 using ship-board echosounders (KFC3000, Sonic Ltd., frequency: 38 kHz, 120 kHz, pulse width: 1.2 ms, beam width: 8.4°, ping rate: 4 sec) and J-QUEST χ (70 kHz, 0.6 ms, 11.8°, 0.2 sec). To investigate species and size compositions, net samplings were also carried out for 20 min using a frame trawl [17] (mouth opening: 5 m², 4 mm mesh, towing speed: *ca.* 3 kt, abbreviated as MOHT in this paper) after the echo data collection. A net recorder was attached to the depressor of the net to monitor the net depth during towing. After the confirmation that a large number of the samples consisted of *D. theta*, continuous observation started. As a series of observation, echo data col-

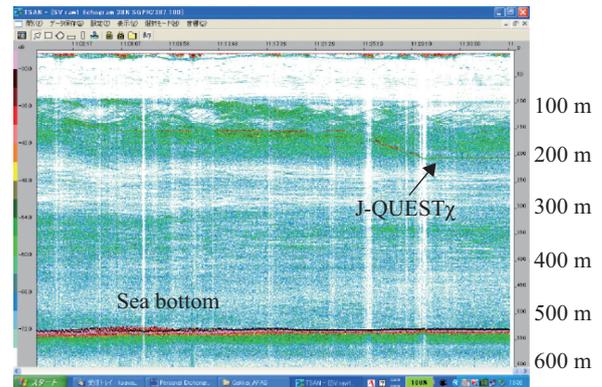


Fig. 3. Observed echogram (20log TVG) from the echosounder installed on vessel (38 kHz, 1.2 ms). Successive echoes from J-QUEST χ is shown in the figure.

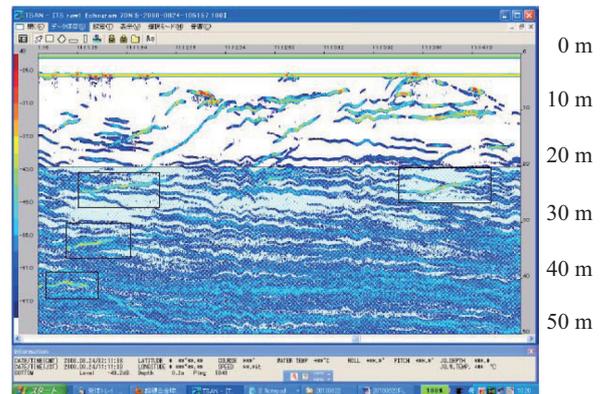


Fig. 4. Observed echogram (Aug 24, 2008, 11:11:15-11:14:30, 40log TVG) from J-QUEST χ . Vertical range shown in the right hand side started from the transducer depth of J-QUEST χ . Target strengths of the shaded area were estimated. Echoes surrounded by squares were considered to be other echoes than *D. theta*.

lection, CTD casts (7 casts), and net samplings (16 hauls) were carried out from 23 Aug, 2008 for 5 days.

3. In situ TS Measurement

The J-QUEST χ was deployed in the down-looking mode (Fig. 1(a)) at a depth of 150 m with a tethered standard sphere (tungsten-carbide, 38.1 mm diameter) 3.3 m below the transducer for the calibration purpose at operating depths.

Single echoes returned from fishes were collected during 10:52-11:24 for 32 minutes on 24 Aug. 2008. Fig. 4 shows a part of the echogram observed by the J-QUEST χ .

The echoes at the range between 20-50 m below the transducer of J-QUEST χ (which corresponds to 170-200 m from the surface and out of range of the stereo camera observation) were analyzed to avoid the effects of LED illumination to fish behavior (Fig. 4). Because lighting attracts squids and many pelagic fishes whereas myctophids fishes avoid bright illuminations. Actually we observed squids and adult walleye pollock on the stereo-video monitor and on the echogram

Table 1. Trawl sampling date, time, position, and depth.

	Station		
	MO4	MO9	MO15
Date	Aug. 24, 2008	Aug. 25, 2008	Aug. 27, 2008
Time	14:16-14:36	14:43-15:13	14:23-15:00
Latitude	42° 35.06' N	42° 36.62' N	42° 36.49' N
Longitude	144° 01.53' E	144° 01.94' E	144° 01.93' E
Towing depth (m)	190-200 m	190 m	200 m

under illumination. At least echoes of adult walleye pollock were relatively stronger than other echoes. In addition, one walleye pollock (adult, fork length = 37.5 cm) was captured at MO.2 (see Fig. 2(b)) in the nighttime at a net depth of 190 m.

To exclude other echoes than *D. theta*, the upper 20 m was excluded from the TS analysis and an upper threshold was applied to the TS analysis layer, as echoes of walleye pollock and squids might still remain in the layer. The upper TS threshold was determined by considering the TS pattern of the largest *D. theta* among 3 hauls (MO.4, 9, 15, see Table 1) with allowance of 3 dB (corresponding to 41% allowance in *SL*).

In the present study, a lower TS threshold was set -70 dB and upper ones were changed from -50 dB to -43 dB at a 1 dB step. Average TS was determined by averaging TS values in linear domain at four different upper thresholds weighted by the number of echoes at each threshold. The determination of the upper threshold value of -50 dB was elaborated in “5. Model calculations based on the swimbladder shape”.

Target strengths of the specimen were calculated using a calibration procedure incorporating the reference target:

$$TS = 20 \log V + 40 \log r + 2\alpha r - 2D - TR \quad (1)$$

where V (V) is an echo amplitude, r (m) is a distance from the transducer to the target, α (dB/m) is an absorption coefficient, D (dB) is a directivity of the transducer, and TR (dB) is a transmitting and receiving factor which is determined by the sphere calibration.

Cut-off angle of 2.5° which corresponded to 1.1 dB for the two-way beam directivity correction ($2D$ in the equation) was applied for average TS calculations to minimize the directivity correction errors [25]. The details of the TS analysis procedure was given by Sawada *et al.* [20].

After the single echoes collection, a frame trawl was conducted at target depths of 190-200 m where single echoes were collected. It is well known that it is not easy to sample the same fish that have been observed by the acoustic instruments [14]. To investigate whether we can catch a representative sample of fish, another results from two trawls that were conducted in the similar time of the day, for the similar position, and the similar layer but different days were compared. Date, time, position, and towing depth for three MOHT net samplings were summarized in Table 1 (see also Fig. 2).

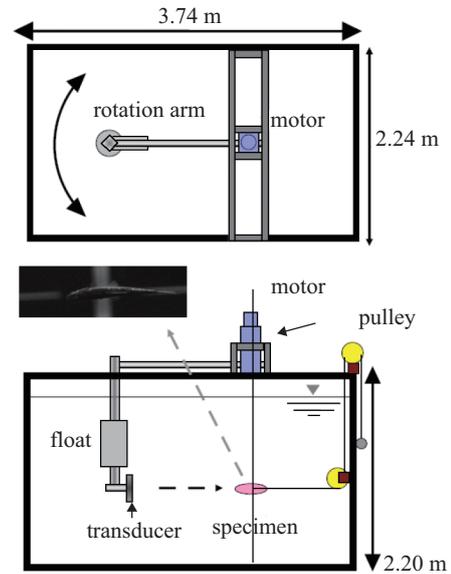


Fig. 5. Experimental tank with the rotating transducer. The upper panel is the top and the lower is the side view of the tank. A specimen is located as its dorsal aspect faces to the transducer using lines. A video camera was mounted beside the transducer (not indicated in the panels). An image of the tethered specimen seen from the transducer is shown between the two panels.

4. TS Pattern Measurement in a Tank

A sample fish for modeling was collected separately apart from the *in situ* TS measurement. Fishes including *D. theta* were collected in July 17, 2006 at 41°52.5'N, 145°18.8'E using a MOHT net aboard the FRA research ship Hokko-maru (902 ton). The MOHT net was towed horizontally reaching a depth shallower than 22 m from the surface at night. Live myctophid fishes which were swimming in a container were selected, transferred into a 1 liter plastic bottle filled with sea water, and frozen. The specimens including one *D. theta* were gradually thawed by keeping the bottle in a refrigerator at 6°C for about three days before the TS measurement and soft X-ray observations.

Target strength of *D. theta* ($SL = 6.53$ cm) was measured as a function of tilt angle in a seawater tank (3.74(L) × 2.24(W) × 2.2(D) m, Fig. 5) in March 14, 2008. A thawed specimen was mounted sideways at 1 m depth as its dorsal aspect was facing to a side-looking transducer (KFC3000, Sonic Ltd., frequency: 120 kHz, beam width: 9.8°, pulse width: 0.6 ms, ping rate: 1 sec) by horizontally pulling a fishing line attached to the dorsal part of the specimen.

As the frequency (120 kHz) was different from the one (70 kHz) used for the *in situ* TS measurement, direct comparison of average TS at the same frequency is impossible. However, after confirming the validation of the theoretical model by the comparison of TS patterns between a measurement and model calculation at 120 kHz, predicted TS pattern at 70 kHz would be valid for the average TS calculation.

The transducer was attached to a vertical bar that was connected to a horizontal arm rotated by a PC-controlled

stepping motor (Fig. 5). The transducer was rotated around the specimen from -30° to 30° (negative indicates head down, positive head up, and 0° is normal incidence). The distance between the specimen and the transducer was 1.4 m. Seawater in the tank was circulated and filtered to keep the seawater clean until just before the TS measurement. Temperature and salinity at a depth of 1 m were recorded every 1 second. Values of sound speed [13] and attenuation coefficient [8] were calculated based on the average values of temperature and salinity measured during the TS measurement. A 38.1-mm-diameter tungsten carbide sphere was used for the calibration before and after the TS measurement. The average value of the transmitting and receiving factor, TR , of the echosounder was used to calculate target strengths using Eq. (1) by putting $D = 0$ dB.

The echo signal with a carrier frequency taken from a buffer output of the receiver was monitored on a PC-controlled digital oscilloscope (LC334 or 9304AM; LeCroy Corporation, Chestnut Ridge, NY, USA). The oscilloscope sampled the signal at 10 MHz using 8-bit analog to digital conversion by changing its vertical gain automatically according to the maximum echo signal level to maximize its vertical resolution effectively. The data acquisition sequence consisted of capturing 10 echoes including 9 shingle-shot echoes of separate pings and 1 averaged echo. The averaged echo, which was obtained by coherently averaging over 20 pings to improve the signal-to-noise ratio. These echoes were recorded in 1° steps of the transducer rotation. Two scans under each condition were conducted; the first scan was for the measurement with specimen and the second without the specimen. Echoes from the specimen and unwanted echoes that were returned from walls and supporting structures were obtained in the first measurement, and only unwanted echoes were obtained from the second measurement.

Echoes from the specimen alone were extracted by coherently subtracting the unwanted echoes obtained in the second measurement from the echoes obtained in the first measurement for each transducer angle. A starting point corresponding to the distance from the transducer to the specimen was calculated using the correlation between subtracted echoes and a standard sphere echo. After the envelope of the subtracted echoes was detected using the Hilbert transform, the peak amplitude was found by searching from the leading edge of the pulse in the range corresponding to the pulse width. This series of signal processing steps was conducted automatically during post-processing.

5. Model Calculations Based on the Swimbladder Shapes

To confirm the swimbladder shape, the lateral and dorsal-aspect images of the swimbladder of *D. theta* used for TS measurement ($SL = 6.53$ cm) were taken using a soft X-ray system (Protest-1000, Softex Ltd.) before and after the TS measurement. Fig. 6(a) shows a lateral optical image and Fig. 6(b) illustrates a swimbladder outline on a soft X-ray images of the fish we examined.

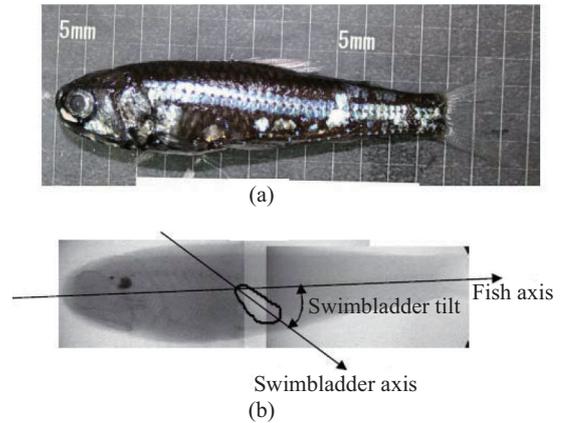


Fig. 6. (a) Optical and (b) soft X-ray images of the sample fish. Two arrows show fish axis and swimbladder axis, respectively. A white grid pattern equally spaced at 5 mm is laid behind the sample fish for scaling.

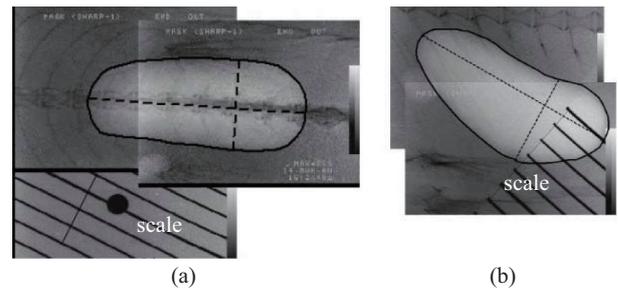


Fig. 7. (a) Magnified swimbladder images seen from dorsal and (b) lateral side taken before TS measurement. Swimbladder contours are traced by black lines. The dashed lines are the maximum and minimum distances. Scale of 1 mm space for each image is also shown with swimbladder image.

Fig. 7 shows magnified dorsal and lateral images of the swimbladder, respectively. The swimbladder shape taken before the TS measurement was digitized using a software (Digger3, Golden software) and it was approximated as a prolate spheroid determined by two parameters (major and minor diameters). The swimbladder axis was defined as a line which maximizes a distance connected the two points on the digitized outlines of the lateral image (see Fig. 6). The distance was used as the major diameter (longer dashed line in Fig. 7 (a)). The minor diameter was obtained by the average of each maximum distance in the perpendicular direction to the swimbladder axis both in the lateral and dorsal images (Figs. 7(a), (b), shorter dashed lines). Swimbladder tilt angle was obtained from an angle between the fish axis and the swimbladder axis; the fish axis was taken as it started from the tip of the fish upper jaw and ended at the center of the fork of the tail. A vacant prolate-spheroid modal series model [9] was used to calculate TSs as functions of frequency and tilt angle.

The relationship between standard length, SL , and average TS on tilt angle, $\langle TS \rangle$, was shown as

$$\langle TS \rangle = a \log SL + b \quad (2)$$

where ‘ a ’ is a slope and ‘ b ’ is a reduced TS. Calculated TS pattern of a fish weighted by the assumed tilt-angle distribution, such as normal distribution, gives average TS $\langle TS \rangle$. The reduced TS, ‘ b ’, can be derived from Eq. (2) assuming the slope, ‘ a ’.

To determine the upper TS threshold, expected maximum TS of *D. theta* was calculated based on the maximum SL (7.47 cm) sampled at MO.4, which was the largest among MO.4, 9, and 15, assuming that the swimbladder size was proportional to the fish size. The ratios of $2a/SL = 0.160$ and $2b/SL = 0.071$ obtained from the modeled fish ($SL = 6.53$ cm) give the major diameter ($2a$) of 1.19 cm and the minor one ($2b$) of 0.53 cm of $SL = 7.47$ cm. As a result, the maximum TS was predicted as -50.4 dB. This is the ground of the upper TS threshold of -50 dB.

III. RESULTS

1. In situ Measurement

Average and the standard deviation of the pitch and roll angles of the J-QUEST χ were $0.8^\circ (\pm 1.1^\circ)$ and $2.2^\circ (\pm 0.9^\circ)$, respectively (positive pitch denotes J-QUEST χ head down, positive roll denotes left up).

Temperature and salinity at 150 m in depth were $3.45^\circ\text{C} (\pm 0.07^\circ\text{C})$ and 33.39 psu (± 0.01 psu) respectively. These values gave an absorption coefficient of 19.62 dB/km at 70 kHz, by Francois and Garrison [8] and sound speed of 1465.20 m/s by Mackenzie [13], respectively. Sphere echoes 3.3 m below the transducer were seen in Fig. 4. Calculated sphere TS of -40.84 dB at this physical condition and measured amplitude gave TR as 63.73 dB using Eq. (1).

In Fig. 3, echoes from J-QUEST χ continued from 150 m to 200 m. It means that the echosounder installed on J-QUEST χ observed scatterers in the depth range from 150 m to 200 m with higher resolution. In Fig. 4, relatively strong echoes were seen near sphere echoes. These were identified as squids and walleye pollocks by the stereo-video camera observations under blue LED illuminations. Another strong echoes were also seen in the selected region for TS calculation (surrounded with frames in Fig. 4, between 20 to 50 m from the transducer of J-QUEST χ).

Fig. 8 shows TS histograms of *D. theta* processed with different upper threshold values. The differences between them occur at greater or equal to -49.5 dB. Fig. 9 shows average TS values and the number of echoes at different upper-threshold value. Average TS values proportionally increase with the upper threshold values. Though the number of echoes also increases with the upper threshold values, a slightly large change happens at the threshold between -50 and -49 dB. Linear average TS values at the threshold of -50, -49, -48, and -47 dB were averaged by weighting each number of echoes and its 10log value (-55.76 dB) was used as the average TS *in situ*.

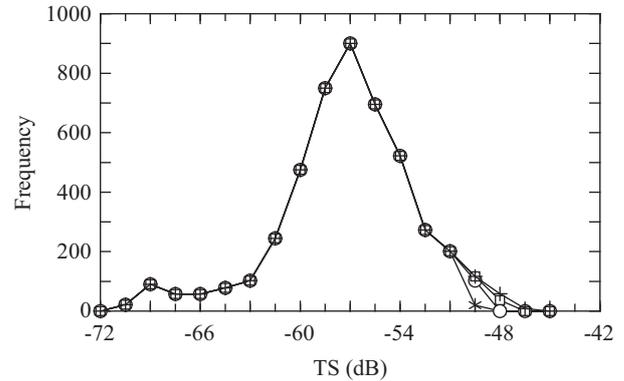


Fig. 8. Observed TS histograms of *D. theta* with four different upper TS thresholds (-50, -49, -48, and -47 dB). In this figure, stars, circles, squares, and crosses denote TS histograms at the threshold values of -50, -49, -48, and -47 dB, respectively.

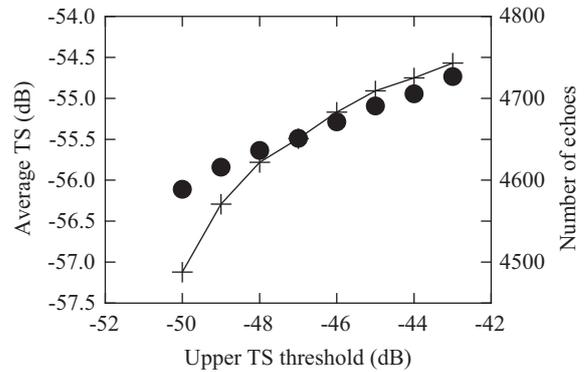


Fig. 9. Effect of the upper TS thresholds to measured average TS *in situ* (black circles) and number of echoes (crosses) analyzed.

2. Frame Trawl Results

Collected species were shown in Table 2. As results, 98.9%-99.5% of the total catch (in individual number) was *D. theta* among three hauls. The average SL and the standard deviation of SL varied 5.45-5.55 cm and 0.37-0.43 cm as shown in Table 3, respectively.

Reduced TS, $b = -70.6$ dB was obtained using average TS, $\langle TS \rangle = -55.8$ dB and average standard length, $\langle L \rangle = 5.55$ cm, assuming $a = 20$ in Eq. (2).

3. Model Calculations Based on Soft X-ray Images of *D. theta*

Swimbladder tilt angle shown in Fig. 6 was 35.6° . A large and small black circle like shape which are right side of the eye are otoliths. The black lines in the two images are traces of the contour of the swimbladder and the arrows show a fish axis and the swimbladder axis, respectively. Measured major diameter ($2a$) obtained from the lateral image was 1.04 cm. Measured minor diameter ($2b$) obtained from the dorsal and lateral images were 0.456 cm and 0.472 cm, respectively. As results, the swimbladder shape can be approximated as a prolate spheroid with a major diameter ($2a$) of 1.04 cm and a

Table 2. Collected species at St. MO.4, MO.9, and MO.15.

Type of species	Station					
	MO4 individual	%	MO9 individual	%	MO15 individual	%
Diaphus theta	846	(99.5)	175	(98.9)	1379	(99.6)
Stenobranchius leucopsarus	0	(0.0)	0	(0.0)	3	(0.2)
Symbolophorus californiensis	0	(0.0)	0	(0.0)	1	(0.1)
Stenobranchius sp.	1	(0.1)	0	(0.0)	0	(0.0)
Leuroglossus schmidti (jeuvenile)	1	(0.1)	2	(1.1)	0	(0.0)
Cololabis saira	0	(0.0)	0	(0.0)	1	(0.1)
Pleuronectiformes (larvae)	2	(0.2)	0	(0.0)	0	(0.0)
Total	850	(100.0)	177	(100.0)	1384	(100.0)

Table 3. Average, standard deviation, maximum, and minimum SL of *D. theta* at St. MO.4, MO.9, and MO.15.

	Station		
	MO4	MO9	MO15
Number	200	147	200
Avg. (mm)	55.5	55.4	54.5
S.D. (mm)	4.3	4.2	3.7
Max (mm)	74.7	66.2	66.6
Min (mm)	46.5	47.8	46.4

minor one (*b*) of 0.46 cm, respectively. The aspect ratio (*b/a*) between the major and the minor radius of the swimbladder was about 0.44. For modeling the swimbladder, prolate spheroid mode series model [9] was chosen. Though the deformed cylinder model [33] could predict the TS pattern from a more complicated swimbladder shape, the model is only effective for low aspect ratios or elongated shapes and near broadside incidence. This is not for the present case.

4. TS Pattern Measured in the Tank Experiment

Fig. 10 compares measured (120 kHz) and model based TS (38, 70, 120, 200 kHz) versus tilt angle for the fish we examined. A fish is horizontal when its tilt angle is 0° and negative sign denotes head down, positive head up. As the tilt angle of the swimbladder axis relative to the fish axis was 35.6°, the peak TS shifted 35.6° to the head down direction and the measurable range was -30°–+30°. As the result, the peak TS could not be detected in the present tank experiment.

IV. DISCUSSION

The sample fish for modeling was taken in the nighttime trawl at depths shallower than 22 m. Its standard length, swimbladder length, and swimbladder aspect ratio were measured as 6.53 cm, 1.04 cm, and 0.44, respectively. The swimbladder length is within the range of the reported value [30] but in the higher region. The swimbladder aspect ratio is also within the

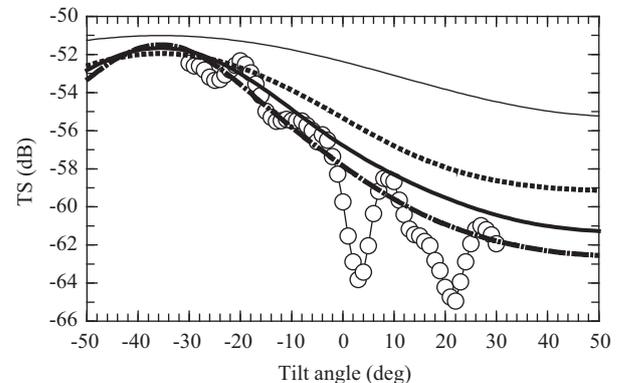


Fig. 10. Measured (white circles) at 120 kHz and predicted TS at 120 kHz (black line), 200 kHz (dashed-dotted line), 70 kHz, (dotted line), and 38 kHz (thin black line) by PSM as a function of fish tilt angle. Predictions were shifted by -35.6°.

range of the reported value of 0.42 (± 0.13). These suggest that the observed swimbladder size used for modeling might be similar or slightly larger compared with the daytime steady-state size.

To confirm the validity of the *in situ* TS measurements, reduced target strengths were compared between the measurement and the theoretical predictions. Predicted TS pattern of the fish (*SL* 6.53 cm) at 70 kHz was weighted by several tilt-angle distributions and averaged. Tilt-angle distributions were assumed as normal distributions with averages (-20, -15, -10, -9, -8, -7, -6, -5, -4, 0, 5, 10, 15, 20°) and standard deviations (5, 10, 15, 20, 25, 30°) at 38 and 70 kHz. The slope of 18.5 was proposed by Yasuma *et al.* [29, 30]. Predicted reduced TSs by Eq. (2) with different slope values were shown in Fig. 11(a). The vertical bars indicate the ranges of reduced TS. Reduced TS at the slope of 20 obtained by measurement agreed well with predictions when the average tilt angle of fish was between -7° and -5°, assuming the swimbladder tilt angle is 35.6°. Yasuma *et al.* [30], however, have reported that there were individual differences on the swimbladder tilt angle. The average was 10.7° ($\pm 5.1^\circ$, $n = 174$). The swimbladder tilt angle of 35.6° measured in the present study was much larger than

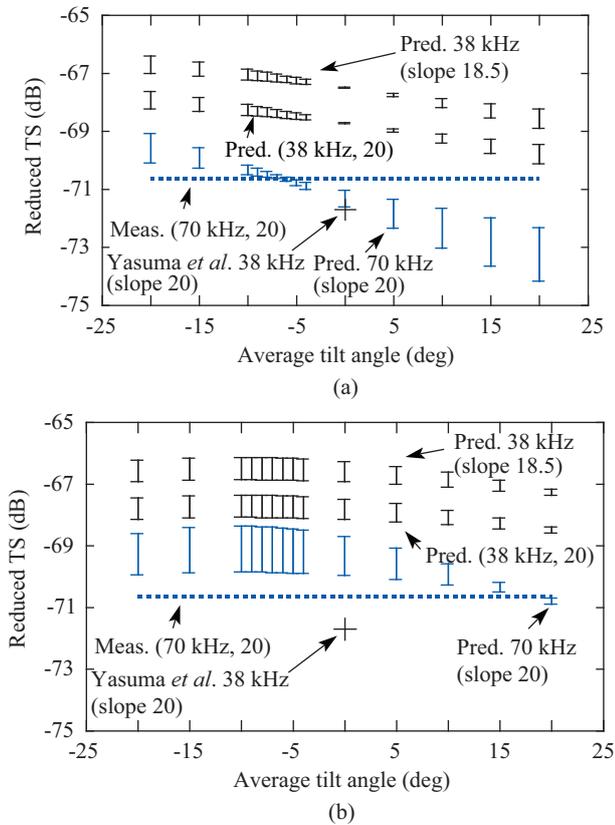


Fig. 11. Measured at 70 kHz (dashed line) and predicted reduced TS at 38 kHz, 70 kHz, and 120 kHz. The vertical bars show the maximum and the minimum values at the same average fish tilt angle (-20, -15, -10, -9, -8, -7, -6, -5, -4, 0, 5, 10, 15, 20°) with different standard deviation (5, 10, 15, 20, 25, 30°). (a) swimbladder tilt-angle = 35.6° and (b) swimbladder tilt-angle = 10.7°.

their results. The swimbladder tilt angle affects average TS values weighed by fish tilt-angle distributions. If the swimbladder angle was 10.7°, predicted reduced TSs become more flat for the average tilt angle and the variation of reduced TS at each average tilt angle becomes large at angles below 5 degrees (Fig. 11(b)). Expected average tilt angle would shift between +15~+20° where measured TS at 70 kHz coincides with predicted values at 70 kHz.

Yasuma *et al.* [30] have also shown the relationship between SL and $\langle TS \rangle$ as $\langle TS \rangle = 18.5 \log SL - 71.7$ at 38 kHz. If we choose the slope of 18.5 for the model prediction at 38 kHz, reduced TS become -67.3~-67.1 dB at the average tilt angle of -6° where predicted and measured reduced TS at 70 kHz agreed well. Though it is approximately 4dB higher than -71.7 dB by Yasuma *et al.* [30], it is still within their predicted range (-86.6~-65.2 dB). Barham [1] has reported that a large number of myctophid fish swims in close to the horizontal position in the daytime by the visual observation using a submersible. Direct measurements of the tilt angle distribution will be needed as future study.

Benoit-Bird and Wu [3] have reported $TS = 20 \log SL - 58.8$ at 200 kHz for 8 species of myctophids by *ex situ* TS measure-

ment in a tank ($n = 56$). Their TS is not a averaged TS on tilt-angle distribution but close to the normal incidence to the dorsal side of fish. Though we can not compare directly with the present results, we may compare their result with the reduced TS on the maximum TS in the predicted TS pattern at 200 kHz. Predicted maximum TS of 6.53 cm *D. theta* in the present study was -51.5 dB as shown in Fig. 10. Reduced maximum TS becomes -67.80 dB, assuming $a = 20$ in Eq. (2). Their result is 9 dB higher than the predicted maximum reduced TS at 200 kHz.

Net sampling is one of the error sources to obtain representative length distribution and a suitable gear for species is essential to minimize the error. Yamamura [27] conducted an inter-calibration between four different net gears and investigated fishing efficiency of each gear compared to a mid-water otter trawl net (900 m², 3 kt) at close location where the present study was conducted. Fishing efficiencies were estimated for two different size groups. One was less than 40 mm and the other was larger than 40 mm. The results were that 82% of the total catch in individual number was *D. theta* and MOHT net (5 m², mesh size 9 mm, 3 kt) showed the highest fishing efficiency for micronektonic fishes for both of the size group among four gears including the mid-water trawl net. The frame trawl used in this study was the same type and the only difference was the mesh size (4 mm in this study).

Stenobranchius leucopsarus and *Sybolophorus californiensis* were collected at MO.15. The number of each species is very small, compared to the total catch as seen in Table 2. In addition, *S. leucopsarus* is a swimbladderless fish [32]. The effects of two species could be neglected.

Evidently, we can see several echoes stronger than other echoes in the TS observed layer in Fig. 4 (surrounded with frames). These were presumably considered to be echoes from squids or walleye pollock which were observed by J-QUEST χ and also captured at MO.2. Reduced TS of walleye Pollock at 38 kHz has been reported as -66.0 dB [7]. Both the fish size and reduced TS were apparently larger than *D. theta*. To remove the unwanted large echoes, we changed the upper threshold values from -50 to -47 dB and investigated the effect to the average TS (Fig. 9). The values of 50 dB came from the maximum TS of *D. theta* with $SL = 7.47$ cm which was the maximum SL among 3 hauls (MO.4, 9, 15) and -47 dB came from the maximum TS +3 dB allowance corresponding to +3.08 cm allowance in SL . Linear average TS values at the threshold of -50, -49, -48, and -47 dB were averaged by weighting each number of echoes and its 10log value was used as the average TS *in situ*. Obtained average TS became -55.8 dB. It was 0.35 dB larger than the average TS at -50 dB threshold and 0.27 dB smaller than the one at -47 dB threshold. The variation was small. Though the upper threshold method is not a perfect method but it can only mitigate the positive bias of the estimated average TS, it was effective in the present study.

McClatchie *et al.* [15] have pointed out that TS could not be normalized by the square of the length as the swimbladder

length does not always increase with fish length in terms of the deep water fish. Yasuma *et al.* [30], however, have shown that linear TS of *D.theta* is nearly proportional to the square of the length and swimbladderless fish appears when a fish is larger than 7.8 cm in SL which was not sampled in this study. This indicates that there were few which did not have swimbladder.

As we could not measure *in situ* TS at different fish length, there is no evidence to reject 20log model in this stage. Further *in situ* TS measurements could resolve this issue.

V. CONCLUSION

In situ TS measurement for *D. theta* distributed in the slope region off the east of Hokkaido was conducted using J-QUEST χ and average TS of -55.8 dB was obtained. A frame trawl sampling for *D. theta* showed an average standard length of 5.55 ± 0.43 cm, $n = 200$. From these data the dorsal aspect TS at 70 kHz was related to the length: $TS = 20\log(\text{standard length in cm}) - 70.6$. Dorsal aspect TS measurement for defrosted *D. theta* was conducted by rotating a 120 kHz transducer around the specimen and compared with model calculation based on the swimbladder shape of the specimen. Both agreed well. Theoretical TS calculation showed that the reduced TS obtained by the *in situ* measurement was reasonable.

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